



Agenda Item No. 6b

To: Finance-Auditing Committee/Committee of the Whole  
Meeting of October 25, 2007

From: Joseph M. Wire, Auditor-Controller  
Celia G. Kupersmith, General Manager

Subject: **REVIEW OF FINANCIAL STATEMENTS FOR THREE MONTHS  
ENDING SEPTEMBER 30, 2007**  
**B) STATEMENT OF CAPITAL PROGRAMS AND EXPENDITURES**

### **Recommendation**

The following report is provided for informational purposes and no action is recommended.

### **Summary**

Total expenditures for three months ending September 30, 2007, were \$3,504,686 funded with \$1,714,452 grant funds and \$1,790,234 District funds. Total expenditures were 9.19% of the \$38.9 million FY 07/08 Capital Budget. Seismic Retrofit activities represent 75.06% of the total budget. Seismic expenditures through September were 8.25% of budget and all other project expenditures were 9.47% of budget.

Attachment 1 to this report provides a summary of major project activities for three months ending September 30, 2007. Attachment 2 provides a one-page summary of FY 07/08 capital project budget and expenditures through September and Attachment 3 provides the detailed capital program financial statement titled "Capital Budget and Expenditures for Three Months Ending 09/30/2007."

### **Fiscal Impact**

There is no fiscal impact associated with this informational report.

**CAPITAL PROJECT EXPENDITURE ACTIVITIES**  
**July 1, 2007 through September 30, 2007**

EXPENDITURES	PROJECT	ACTIVITIES
<b>BRIDGE</b>		
\$996,697	SEISMIC PHASE II - SOUTH VIADUCT	Utility Work and Cleanup Work is Progressing
\$201,769	SEISMIC PHASE IIIA - NO. ANCHORAGE	Bids Received on 6/22 Were Rejected, Bid Docs Revised and will be Re-Adv
\$64,814	ACCESS PLATFORMS (NORTH APPROACH)	Erection of the Platforms are Nearly Complete
\$15,809	BRIDGE FACILITY REHAB	Rehab of the District Secretary's Offices are Continuing/Furniture Recvd
\$1,241,104	FASTRAK SYSTEM REPLACEMENT	Staff is Working with Consultants on Detailing the System Design
\$142,306	FY2008 BRIDGE CAPITAL EQUIPMENT	HVAC Units, Air Compressor & Dryer and Crash Attenuator Purchased
<b>BUS</b>		
\$15,331	SANTA ROSA FUEL TANKS REPLACEMENT	Notice to Proceed Issued / Contractor Has Begun Site Work
\$3,000	SAN RAFAEL ADM BLDGE HVAC REPLACEMENT	Staff is Working with Consultants to Prepare Design Plans & Specs
\$403,215	REPLACEMENT BUSES - 10 ARTICS	All 10 Coaches Have Been Recvd, Staffs are Preping them for Service
<b>FERRY</b>		
\$10,683	SPAULDING REBURBISHMENT	MS Marin is Back in Service, Proceeding with Contract Close-Out
\$152,074	FERRY FUEL PIPING SYSTEM REPLACEMENT	Removal of Old Piping and Installation of New Piping Completed
\$5,711	FERRY MISC FACILITY REHAB	NTP Issued and Contractor is Preparing Work Plans and Submittals
\$43,547	STORAGE & INSPECTION STATION	NTP Issued and Contractor has Begun Site Work
\$28,865	COMM & SURVEILLANCE EQUIPMENT	Consultants to Develop Drawings & Specs for Surveillance System
\$6,000	REPOWER MV MENDOCINO	Preliminary Design Feasibility Study Completed
\$11,534	FY2008 FERRY CAPITAL EQUIPMENT	Major Componet Parts Purchased
<b>DISTRICT</b>		
\$37,239	FINANCIAL & MAINT MGMT SYSTEM	Staff Continues Work on the Implementation of Remaining Modules
\$24,275	FY2008 COMPUTER/COMMUNICATIONS EQUIP	Hardware to Expand SAN Purchased
\$98,230	FY2008 DISTRICT CAPITAL EQUIPMENT	6 District Vehicle Received
<b>OTHER</b>		
\$2,484	MISCELLANEOUS PROJECTS	Various project activities
<b>\$3,504,686</b>	<b>Total</b>	

**FY 2007/08 CAPITAL BUDGET AND EXPENDITURE SUMMARY**  
**July 1, 2007 through September 30, 2007**

PROJECT TITLE	TOTAL PROJECT	FY 2007/2008 BUDGET	EXPENDITURES THRU 9/30/2007	% BUDGET EXPENDED
<b>BRIDGE DIVISION</b>				
SEISMIC PHASE III - DESIGN	5,000,000	470,426	474	0.10%
SEISMIC PHASE II - SOUTH VIADUCT	183,561,500	2,617,779	996,697	38.07%
SEISMIC PHASE IIIA - NO. ANCHORAGE	50,000,000	11,448,380	201,769	1.76%
SEISMIC PHASE IIIB	110,000,000	-	-	0.00%
MOVEABLE MEDIAN BARRIER-PRELIM INVESTIG	2,000,000	100,000	-	0.00%
MAIN CABLE RESTORATION	30,000,000	500,002	-	0.00%
ACCESS PLATFORMS (NORTH APPROACH)	3,015,000	1,545,003	64,814	4.20%
BRIDGE FACILITY REHAB	320,000	149,307	15,809	10.59%
SUICIDE DETERRENT - PRELIM INVESTIGATION	2,000,000	1,018,103	-	0.00%
FASTRAK SYSTEM REPLACEMENT	4,830,000	2,296,887	1,241,104	54.03%
SOUTH TOWER ACCESS PLATFORMS	2,000,000	225,000	-	0.00%
BRIDGE SECURITY ENHANCEMENT	200,000	200,000	-	0.00%
FY2008 BRIDGE CAPITAL EQUIPMENT	903,458	903,458	142,306	15.75%
TOLL PLAZA EMPLOYEE PARKING LOT	1,100,000	1,100,000	-	0.00%
S.APPROACH SECURITY IMPROVEMENT	3,000,000	1,000,000	-	0.00%
FY2008 CAFÉ/GIFT CENTER EQUIPMENT	34,100	34,100	-	0.00%
<b>TOTAL BRIDGE</b>	<b>397,964,058</b>	<b>23,608,444</b>	<b>2,662,972</b>	<b>11.28%</b>
<b>BUS DIVISION</b>				
REPLACE FAREBOXES	2,805,100	2,800,397	-	0.00%
ADVANCED COMMUNICATION & INFO SYSTEM	10,000,000	950,510	210	0.02%
HASTUS UPGRADE	395,000	53,732	1,800	3.35%
SANTA ROSA FUEL TANKS REPLACEMENT	812,000	800,353	15,331	1.92%
FOLSOM STREET BUS STOP	75,000	35,000	-	0.00%
SAN RAFAEL ADM BLDGE HVAC REPLACEMENT	500,000	346,770	3,000	0.87%
BODY SHOP ROOF REPLACEMENT	350,000	350,000	-	0.00%
REPLACEMENT BUSES - 10 ARTICS	6,600,000	1,031,810	403,215	39.08%
REPLACE 13 - 45' BUSES	6,486,500	100,000	-	0.00%
SAN RAFAEL TRANSIT CENTER IMPROVEMENT	365,000	50,000	-	0.00%
SAN RAFAEL DATE CENTER	1,110,000	200,000	-	0.00%
SAN RAFAEL & SANTA ROSA WASH RACKS	900,000	100,000	-	0.00%
REPLACE 5 BUS SHELTERS	50,000	50,000	-	0.00%
FY2008 BUS CAPITAL EQUIPMENT	279,000	279,000	-	0.00%
BUS PERIMETER SECURITY EQUIPMENT	253,000	253,000	-	#DIV/0!
<b>TOTAL BUS</b>	<b>30,980,600</b>	<b>7,400,571</b>	<b>423,556</b>	<b>5.72%</b>
<b>FERRY DIVISION</b>				
CORTE MADERA MARSH RESTORATION	1,170,000	10,007	-	0.00%
SPAULDING REBURBISHMENT	7,525,000	10,766	10,683	99.23%
GANGWAY & PIERS - DESIGN	2,000,000	250,111	-	0.00%
FERRY FAREGATES & TICKET SYSTEM	1,600,000	589,766	-	0.00%
FERRY FUEL PIPING SYSTEM REPLACEMENT	718,000	242,121	152,074	62.81%
FERRY MISC FACILITY REHAB	528,000	507,429	5,711	1.13%
STORAGE & INSPECTION STATION	357,000	350,193	43,547	12.44%
COMM & SURVEILLANCE EQUIPMENT	245,000	244,847	28,865	11.79%
VESSEL LOADING DOOR STUDY	30,000	28,247	-	0.00%
BERTH DREDGING	1,500,000	100,000	-	0.00%
HIGH SPEED VESSEL REPLACEMENT	12,000,000	1,000,008	-	0.00%
MAIN POPULSION EQUIPMENT	85,000	85,000	-	0.00%
REPOWER MV MENDOCINO	2,300,000	2,300,000	6,000	0.26%
UPGRADE AIS ON SPAULDINGS	45,000	45,000	-	0.00%
ICE MACHINES FOR VESSELS	17,000	17,000	-	0.00%
REPLACE DECK COVERING	13,000	13,000	-	0.00%
FY2008 FERRY CAPITAL EQUIPMENT	23,000	23,000	11,534	50.15%
FERRY SECURITY SHELTER	325,000	325,000	-	0.00%
<b>TOTAL FERRY</b>	<b>30,481,000</b>	<b>6,141,496</b>	<b>258,413</b>	<b>4.21%</b>
<b>DISTRICT DIVISION</b>				
FINANCIAL & MAINT MGMT SYSTEM	4,445,000	1,229,690	37,239	3.03%
PAYROLL OFFICE RENOVATION	25,000	25,000	-	0.00%
FY2008 COMPUTER/COMMUNICATIONS EQUIP	186,000	186,000	24,275	13.05%
FY2008 DISTRICT CAPITAL EQUIPMENT	298,230	298,230	98,230	32.94%
<b>TOTAL DISTRICT</b>	<b>4,954,230</b>	<b>1,738,920</b>	<b>159,744</b>	<b>9.19%</b>
<b>CONSOLIDATED TOTAL</b>	<b>464,379,888</b>	<b>38,889,430</b>	<b>3,504,686</b>	<b>9.01%</b>
<b>Seismic Retrofit Projects</b>	<b>348,561,500</b>	<b>14,536,584</b>	<b>1,198,939</b>	<b>8.25%</b>
<b>Non-Seismic Projects</b>	<b>115,818,388</b>	<b>24,352,846</b>	<b>2,305,747</b>	<b>9.47%</b>
<b>Total</b>	<b>464,379,888</b>	<b>38,889,430</b>	<b>3,504,686</b>	<b>9.01%</b>

ATTACHMENT 3

**Golden Gate Bridge, Highway & Transportation District  
Consolidated Capital Project Budget and Expenditure  
Three Months Ending 09/30/2007**

<b>Capital Project</b>	<b>Total Project Budget</b>	<b>Prior Year Expenditure</b>	<b>Current Budget</b>	<b>Current Expenditure</b>	<b>Current Balance</b>	<b>Future Balance</b>
District Division	4,954,230	2,875,310	1,738,920	159,744	1,579,175	340,000
Bridge Division	397,964,058	191,572,414	23,608,444	2,662,972	20,945,471	182,783,200
Bus Division	30,980,600	6,218,530	7,400,571	423,556	6,977,014	17,361,500
Ferry Division	30,481,000	8,350,904	6,141,496	258,413	5,883,082	15,988,600
<b>Total</b>	<b>464,379,888</b>	<b>209,017,158</b>	<b>38,889,430</b>	<b>3,504,686</b>	<b>35,384,744</b>	<b>216,473,300</b>
Grant Funding		201,188,836	26,656,545	1,714,452		
District Funding		7,828,323	12,232,885	1,790,234		

**Golden Gate Bridge, Highway & Transportation District  
 Capital Project Budget and Expenditure Details  
 Three Months Ending 09/30/2007  
 District Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Funding Other
Financial & Maint Mgmt System	0117	4,445,000	2,875,310	1,229,690	37,239	1,192,450.85	340,000.00	20%	80%
Payroll Office Renovation	0801	25,000	-	25,000	-	25,000.00	-	100%	0%
FY08 Computer/Comm Equipment	0802	186,000	-	186,000	24,275	161,724.57	-	100%	0%
FY08 DIST Capital Equipment	0806	298,230	-	298,230	98,230	199,999.97	-	100%	0%
<b>Division Totals</b>		<b>4,954,230</b>	<b>2,875,310</b>	<b>1,738,920</b>	<b>159,744</b>	<b>1,579,175</b>	<b>340,000</b>		
Grant Funding			2,300,248	983,752	29,791				
District Funding			575,062	755,168	129,953				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Three Months Ending 09/30/2007  
Bridge Division**

<b>Capital Project</b>	<b>Project ID</b>	<b>Total Project Budget</b>	<b>Prior Year Expenditure</b>	<b>Current Budget</b>	<b>Current Expenditure</b>	<b>Current Balance</b>	<b>Future Balance</b>	<b>Funding District</b>	<b>Funding Other</b>
Access Platforms (North Approach)	0301	3,015,000	1,469,996.88	1,545,003	64,814	1,480,189	-	100%	0%
Bridge Facility Rehab	0605	320,000	60,792.98	149,307	15,809	133,498	109,900	100%	0%
Suicide Deterrent / Investigation	0610	2,000,000	981,896.52	1,018,103	-	1,018,103	-	0%	100%
Fastrak System Replacement	0621	4,830,000	2,533,113.43	2,296,887	1,241,104	1,055,783	-	100%	0%
South Tower Access Platforms	0705	2,000,000	-	225,000	-	225,000	1,775,000	100%	0%
Bridge Security Enhancement	0820	200,000	-	200,000	-	200,000	-	0%	100%
FY08 Bridge Capital Equipment	0803	903,458	-	903,458	142,306	761,151	-	100%	0%
Toll Plaza Employee Parking Lot	0804	1,100,000	-	1,100,000	-	1,100,000	-	100%	0%
South Approach Security Improvement	0805	3,000,000	-	1,000,000	-	1,000,000	2,000,000	100%	0%
FY08 Café/Gift Center Equipment	0807	34,100	-	34,100	-	34,100	-	100%	0%
Seismic Phase III-Design Revw	9102	5,000,000	4,229,574.03	470,426	474	469,952	300,000	0%	100%
Seismic Phase II-SAV Construction	9205	183,561,500	180,943,721.46	2,617,779	996,697	1,621,082	(0)	0%	100%
Seismic Phase IIIA - No. Anchorage	9206	50,000,000	551,620.49	11,448,380	201,769	11,246,611	38,000,000	0%	100%
Seismic Phase IIIB	9207	110,000,000	-	-	-	-	110,000,000	0%	100%
Moveable Median Barrier - Prelim Invest.	0820	2,000,000	-	100,000	-	100,000	1,900,000	100%	0%
Main Cable Restoration	9826	30,000,000	801,698.22	500,002	-	500,002	28,698,300	100%	0%
<b>Division Totals</b>		<b>397,964,058</b>	<b>191,572,414.01</b>	<b>23,608,444</b>	<b>2,662,972</b>	<b>20,945,471</b>	<b>182,783,200</b>		
Grant Funding			186,706,813	15,754,688	1,198,939				
District Funding			4,865,602	7,853,756	1,464,033				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Three Months Ending 09/30/2007  
Bus Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Funding Other
Advanced Comm. & Information System	0502	10,000,000	249,490.19	950,510	210	950,300	8,800,000	20%	80%
Hastus Upgrade	0611	395,000	341,268.41	53,732	1,800	51,932	(0)	20%	80%
Santa Rosa Fuel Tanks Replacement	0613	812,000	11,647.50	800,353	15,331	785,021	-	63%	37%
Folsom Street Bus Stop	0615	75,000	40,000.00	35,000	-	35,000	-	52%	48%
San Rafael Adm Bldg HVAC Replace	0712	500,000	3,230.00	346,770	3,000	343,770	150,000	100%	0%
Body Shop Roof Replacement	0713	350,000	-	350,000	-	350,000	-	100%	0%
Replacement Buses - 10 Artics	0721	6,600,000	5,568,190.11	1,031,810	403,215	628,595	-	0%	100%
Replacement Buses - 13 - 45' Buses	0808	6,486,500		100,000	-	100,000	6,386,500	19%	81%
San Rafael Transit Center Improvement	0809	365,000		50,000	-	50,000	315,000	0%	100%
San Rafael Data Center	0810	1,110,000		200,000	-	200,000	910,000	30%	70%
San Rafael & Santa Rosa Wask Racks	0811	900,000		100,000	-	100,000	800,000	11%	89%
Replace 5 Buse Shelters	0812	50,000		50,000	-	50,000	-	100%	0%
FY08 Bus Capital Equipment	0813	279,000		279,000	-	279,000	-	100%	0%
Bus Perimeter Security Equipment		253,000	-	253,000	-	253,000	-	0%	100%
Replace Fareboxes	9707	2,805,100	4,703.33	2,800,397	-	2,800,397	-	20%	80%
<b>Division Totals</b>		<b>30,980,600</b>	<b>6,218,529.54</b>	<b>7,400,571</b>	<b>423,556.48</b>	<b>6,977,014</b>	<b>17,361,500</b>		
Grant Funding			6,068,069	5,001,451	410,496				
District Funding			150,460	2,399,120	13,061				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Three Months Ending 09/30/2007  
Ferry Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Funding Other
Spaulding Refurbishment	0318	7,525,000	7,514,234.24	10,766	10,683	83	(0)	20%	80%
Gangway & Piers-Design	0503	2,000,000	1,889.03	250,111	-	250,111	1,748,000	20%	80%
Ferry Faregates & Ticket System	0514	1,600,000	70,233.68	589,766	-	589,766	940,000	0%	100%
Ferry Fuel Piping System Replacement	0618	718,000	475,578.53	242,121	152,074	90,047	300	100%	0%
Ferry Misc Facility Rehab	0619	528,000	20,571.02	507,429	5,711	501,718	-	100%	0%
Storage & Inspection Station	0715	357,000	6,807.00	350,193	43,547	306,646	-	17%	83%
Comm & Surveillance Equipment	0716	245,000	152.72	244,847	28,865	215,983	-	15%	85%
Vessel Loading Door Study	0717	30,000	1,752.89	28,247	-	28,247	-	100%	0%
Berth Dredging	0719	1,500,000	-	100,000	-	100,000	1,400,000	20%	80%
High Speed Vessel Replacement	0720	12,000,000	30,992.16	1,000,008	-	1,000,008	10,969,000	20%	80%
Main Populsion Equipment	0814	85,000		85,000	-	85,000	-	20%	80%
Repower MV Mendocino	0815	2,300,000		2,300,000	6,000	2,294,000	-	0%	100%
Upgrade Navigation Equip (AIS)-Spauldings	0816	45,000		45,000	-	45,000	-	20%	80%
Ice Machines for Vessels	0817	17,000		17,000	-	17,000	-	100%	0%
Replace Deck Covering	0818	13,000		13,000	-	13,000	-	20%	80%
FY08 Ferry Capital Equipment	0819	23,000		23,000	11,534	11,467	-	100%	0%
Ferry Security Shelter		325,000	-	325,000	-	325,000	-	0%	100%
Corte Madera Marsh Restoration	9710	1,170,000	228,693.11	10,007	-	10,007	931,300	100%	0%
<b>Division Totals</b>		<b>30,481,000</b>	<b>8,350,904</b>	<b>6,141,496</b>	<b>258,413</b>	<b>5,883,082</b>	<b>15,988,600</b>		
Grant Funding			6,113,706	4,916,654	75,226				
District Funding			2,237,199	1,224,841	183,188				