

**GOLDEN GATE BRIDGE, HIGHWAY AND TRANSPORTATION DISTRICT**

**RESOLUTION NO. 2013-058**

**APPROVE THE FY 2013/2014 THROUGH 2015/2016  
DISADVANTAGED BUSINESS ENTERPRISE GOAL ON  
FEDERAL TRANSIT ADMINISTRATION-ASSISTED PROJECTS**

June 14, 2013

**WHEREAS**, the Board of Directors (Board) of the Golden Gate Bridge, Highway and Transportation District (District) receives federal transportation funding for various projects, and as a condition of receiving such funding, the District must have a diversity program for the participation of Disadvantaged Business Enterprises (DBEs) in District contracting opportunities; and,

**WHEREAS**, in the past, the District submitted annual reports to the Federal Transit Administration (FTA) that analyzed anticipated FTA-funded projects for potential DBE participation for the forthcoming fiscal year and set an annual overall DBE goal, after publication of the proposed goal and consideration of input from the general public; and,

**WHEREAS**, in March 2010, the U.S. Department of Transportation issued new regulations directing that henceforth, federal grant recipients shall instead set overall DBE goals on a three-year basis, and as such the District's goal methodology shall be submitted to the FTA every three years, instead of every year, based upon anticipated FTA-assisted contracts for the three forthcoming fiscal years; and,

**WHEREAS**, staff used an objective, two-step process to evaluate contract opportunities for projects anticipated to receive FTA funding during the next three fiscal years (Two-Step Process), listed herein as Attachment A; and,

**WHEREAS**, the first step of the evaluation process (Step One) yielded an overall DBE participation percentage by identifying the prime and subcontracting opportunities for each contract by the North American Industry Classification System (NAICS) codes that closely match the types of work (prime contractors and subcontractors) required for the contracts, as well as by analyzing the relative availability of DBEs for those opportunities by comparing data from the California Unified Certification Program (CUCP) database of all certified DBEs with the U.S. Census Bureau's County Business Patterns database of all firms; and,

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**WHEREAS**, the second step of the evaluation process (Step Two) consisted of further adjustments to the overall DBE participation percentage by assessing known relevant evidence to narrowly tailor the base DBE participation figure to the District's marketplace, with such evidence possibly including actual DBE participation in the District's race- and gender-neutral contracts in recent years or other factors which could limit or expand the availability of DBEs to participate; and,

**WHEREAS**, interested parties may find a complete copy of the goal methodology detailing these steps by submitting a request to the Office of the District Secretary; and,

**WHEREAS**, the District anticipates that a total of fourteen FTA-assisted contracts shall be awarded in FY 13/14 through 15/16, totaling \$40.2 million, with two for the District Division, five for the Bus Transit Division and seven for the Ferry Transit Division; and,

**WHEREAS**, after Step One calculations, the base goal figure was 43%; and,

**WHEREAS**, Step Two adjustments affected five contracts, of which one pertained to dry-docking, one pertained to dredging, one pertained to structural bridge security implementation and two pertained to separate gangway and pier construction projects, with each contract requiring adjustment to its individual contract base figure due to its size, complexity or specialized nature; and,

**WHEREAS**, upon application of the Two-Step Process, staff recommends establishing a 5.9% overall DBE goal to be achieved using race-neutral methods, which the General Manager, or designee, shall publicly publish in a "Notice of Proposed Overall Disadvantaged Business Enterprise Goal for Fiscal Year 2013/2014 through 2015/2016," listed herein as Attachment B; and,

**WHEREAS**, interested parties may find a breakdown of relative DBE availability and base figures per contract in the aforementioned goal methodology, which may be obtained from the Office of the District Secretary; and,

**WHEREAS**, the Rules, Policy and Industrial Relations Committee at its meeting of June 14, 2013, has so recommended; now, therefore, be it

**RESOLVED** that the Board of Directors (Board) of the Golden Gate Bridge, Highway and Transportation District hereby establishes, relative to the FY 2013/2014 through 2015/2016 overall Disadvantaged Business Enterprise (DBE) goals on Federal Transit Administration (FTA) projects, a 5.9% proposed DBE goal applicable to \$40,224,000.00 in contracts assisted by the FTA and anticipated for the three forthcoming fiscal years; and, be it further

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**RESOLVED** that the Board authorizes the General Manager to publish notice of the proposed goal, in accordance with federal regulations governing the establishment of DBE goals; and, be it further

**RESOLVED** that the Board authorizes the General Manager to formally adopt the FTA goal if no public comments are received that require further consideration or modification of the proposed goal by the Board; and, be it further

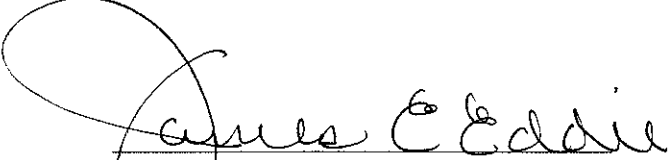
**RESOLVED** that the Board authorizes staff to submit the District's overall DBE goal for FTA-assisted contracting opportunities to the FTA by August 1, 2013.


**ADOPTED** this 14<sup>th</sup> day of June 2013, by the following vote of the Board of Directors:

**AYES (15):** Directors Arnold, Belforte, Breed, Cochran, Moylan, Pahre, Reilly, Sears, Snyder, Sobel, Theriault and Yee; Second Vice President Stroeh; First Vice President Grosboll; President Eddie

**NOES (0):** None

**ABSENT (4):** Directors Campos, Fredericks, Rabbitt and Wiener

  
**James C. Eddie**  
**President, Board of Directors**

**ATTEST:**   
**Janet S. Tarantino**  
**Secretary of the District**

Attachment A - FY 2013/2014 through 2015/2016 Overall DBE Goal for FTA-Assisted Contracts

Attachment B - Notice of Proposed Overall Disadvantaged Business Enterprise Goal for Fiscal Year 2013/2014 through 2015/2016

**Attachment A**

**FY 2013/2014 through 2015/2016 Overall DBE Goal  
for FTA-Assisted Contracts**

The availability figures determined for the FTA-assisted contracts were calculated into projected DBE participation dollars by taking the FTA dollars budgeted for each contract and multiplying by the availability figure for each contract. The total of the projected DBE participation dollars was then divided by the total of the budgeted FTA dollars for all contracts, resulting in a 5.9% overall goal.

<b>A. DESCRIPTION OF CONTRACT</b>	<b>B. FTA DOLLARS</b>	<b>C. DBE AVAILABILITY PER CONTRACT</b>	<b>D. FTA \$ Projected for DBEs</b>
<b>Ferry Division</b>			
M.S. Marin Engine Vessel Improvements & Dry Dock	\$384,000	0.0%	\$0
M.S. Marin Rebuild Engines	\$600,000	0.0%	\$0
M.V. Napa Engine Vessel Improvements and Dry Dock	\$400,000	0.0%	\$0
Larkspur Ferry Terminal Berth Dredging; Piling and Rehab Facilities	\$720,000	0.7%	\$5,040
Larkspur Ferry Terminal Sewer and Fuel Systems Rehab	\$1,200,000	0.6%	\$7,200
Sausalito Ferry Terminal Gangway and Pier Improvements, Construction	\$9,600,000	5.5%	\$528,000
San Francisco Ferry Terminal Gangway and Pier Improvements, Construction	\$20,000,000	5.5%	\$1,100,000
<b>Total</b>	<b>\$32,904,000</b>	<b>5.0%</b>	<b>\$1,640,240</b>
<b>Bus Division</b>			
Bus Stop Shelter and Signage	\$400,000	6.0%	\$24,000
Resurface San Rafael Employee Parking Lot	\$1,440,000	14.9%	\$214,560
San Rafael Main Shop Roof Replacement	\$400,000	1.4%	\$5,600
San Rafael Maintenance Shop Utilities Rehabilitation	\$800,000	3.0%	\$24,000
San Rafael Drivers Restroom and Payroll Office Renovation	\$680,000	5.2%	\$35,360
<b>Total</b>	<b>\$3,720,000</b>	<b>8.2%</b>	<b>\$303,520</b>
<b>Bridge Division</b>			
Toll Plaza Administration Building Improvements, Design	\$400,000	6.1%	\$24,400
South Approach and Pier Security Implementation	\$3,200,000	12.4%	\$396,800
	<b>\$3,600,000</b>	<b>11.7%</b>	<b>\$421,200</b>
<b>TOTAL FTA DOLLARS AND DBE DOLLARS</b>	<b>\$40,224,000</b>		<b>\$2,364,960</b>

<b>FTA \$ PROJECTED FOR DBE</b>	<b>FTA \$ BUDGETED</b>	<b>ANNUAL OVERALL GOAL</b>
<b>\$2,364,960</b>	<b>\$40,224,000</b>	<b>5.9%</b>

**NOTICE OF PROPOSED OVERALL  
DISADVANTAGED BUSINESS ENTERPRISE GOAL  
FOR FISCAL YEAR 2013/2014 THROUGH 2015/2016**

The Board of Directors of the Golden Gate Bridge, Highway and Transportation District announces a proposed 5.9% overall goal for the participation of Disadvantaged Business Enterprises in contracting opportunities that are budgeted to receive federal financial assistance from the U.S. Department of Transportation, as administered by the Federal Transit Administration, for the period beginning October 1, 2013 and ending September 30, 2016.

Information on the development of the proposed goal and its rationale is available for inspection from 8:30 a.m. to 4:00 p.m., Monday through Friday, at the DBE Program Office, District Administration Building North, 1011 Andersen Drive, #108, San Rafael, CA 94901-5381 for 30 days following the date of this notice. Comments will be accepted on the goals for 45 days from the date of this notice. Comments may be submitted to the DBE Program Administrator at the above-mentioned address, to the Regional Civil Rights Officer, Federal Transit Administration, Region IX, 201 Mission Street, Suite 2210, San Francisco, CA 94105, or to the Regional Civil Rights Officer, U.S. Department of Transportation, Federal Highway Administration, 980 – 9<sup>th</sup> Street, Suite 400, Sacramento, CA 95814.

/s/Janet S. Tarantino, Secretary of the District. Dated: June 15, 2013.