

DBE/ACDBE CERTIFICATION



WORKSHOP



JUNE 13, 2024

DAY 2: IN-PERSON WORKSHOP



Construction



Airport Concessions



Professional Services

AGENDA

Opening Remarks

Carolyn Gonot, General Manager, Santa Clara Valley Transportation Authority

DBE/ACDBE Program Overview

Anna Silva, Office Chief, DBE Certification Branch & Compliance Branch, Office of Civil Rights, CALTRANS

Eastridge to BART Regional Connector

Ven Prasad, Engineering Group Manager, Transit Rail, Santa Clara Valley Transportation Authority

SFO Noise Insulation Program

Luis Mbreno, RA, Project Manager, San Francisco International Airport

William Wong, Project Management, San Francisco International Airport

Alameda CTC LBCE Program

Artemise Davenport, Manager, DBE and Workforce Inclusion, Golden Gate Bridge, Highway & Transportation District

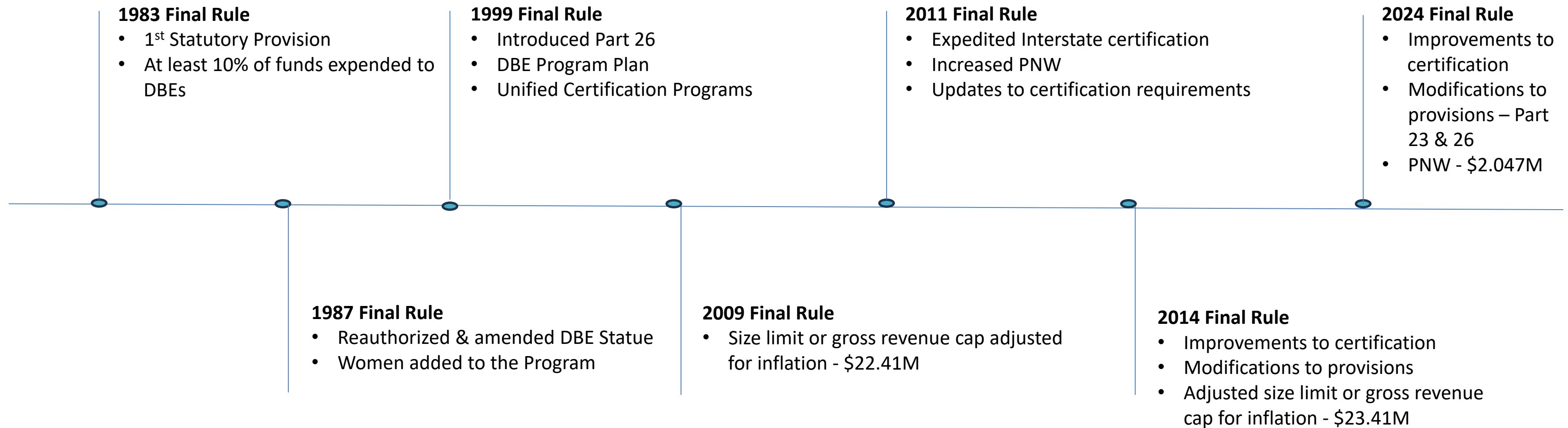


HOUSEKEEPING

- Respectful & Polite
- Restrooms
- Emergency Exits

HISTORY OF THE DBE PROGRAM

DBE Program (Civil Rights of 1964)



Current size cap – DBE \$30.72M, ACDBE \$56.42M

Current size cap is found on the DOCR website; <https://www.transportation.gov/DBEsizestandards>

What is a DBE?

- A DBE is defined in the [49 Code of Federal Regulations \(CFR\), Part 26](#).
- DBEs are for-profit small business concerns that is at least 51% owned by one or more individuals who are both socially and economically disadvantaged and whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own the business.



What is a ACDBE?

- A ACDBE is defined under [49 Code of Federal Regulations \(CFR\), Part 23](#).
- ACDBEs are firms seeking to operate as a concession that is a for-profit small business concerns that is at least 51% owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51% of the stock is owned by one of more such individuals and whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own the business.



ELIGIBILITY REQUIREMENTS

➤ **Ownership**

- Must be 51% owned by socially and economically disadvantaged individuals

➤ **Presumed Socially and Economically Disadvantaged**

- Black Americans
- Hispanic Americans
- Native Americans
- Asian-Pacific Americans
- Subcontinent Asian Americans
- Women
- Any additional individuals who can demonstrate to be socially and economically disadvantaged as defined in Appendix E of 49 CFR Part 26

➤ **Personal Net Worth**

- The 51% majority owner(s) must not exceed PNW of \$2.047M (effective May 9, 2024)

ELIGIBILITY REQUIREMENTS (CONT.)

➤ **Control**

- 51% owner(s) must be able to demonstrate ownership and control their business
- Must have employee who possess the required license or credentials, if required by law

➤ **Independence**

- Only an independent business may be certified as a DBE or ACDBE

➤ **Business Size Standards**

- For DBE, average annual gross receipts, as defined by SBA regulations (see 13 CFR 121.104), over the firm's previous five fiscal years, in excess of \$30.72M (FTA/FHWA)
- For ACDBE, average annual gross receipts, as defined by SBA regulations (see 13 CFR 121.104), over the firm's previous five fiscal years, in excess of \$56.42M (FAA) and car rental companies, \$75.23M

NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM CODES

- The North American Industry Classification System (NAICS) is the standard used by Federal statistical agencies in classifying business establishments: <https://www.census.gov/naics/>
- NAICS Codes requested should match your primary line of work
- Five-year annual gross receipts average utilized for NAICS Code size standard calculations
- Once certified, approved NAICS codes will be listed with your firm in the CUCP DBE directory. Entities looking for certified firms use these databases to find businesses that have the NAICS codes that match the goods and services they want to contract for or buy.

An official website of the United States government

United States[®]
Census
Bureau

North American Industry Classification System

- Main
- History
- Development Partners
- Federal Register Notices
- FAQs
- Reference Files ▾
- NAPCS**

NAICS Search

Enter keyword or 2-6 digit code

2022 NAICS Search

Enter keyword or 2-6 digit code

2017 NAICS Search

Enter keyword or 2-6 digit code

2012 NAICS Search

Introduction to NAICS

The North American Industry Classification System (NAICS) is the standard used by Federal statistical agencies in classifying business establishments for the purpose of collecting, analyzing, and publishing statistical data related to the U.S. business economy.

NAICS was developed under the auspices of the Office of Management and Budget (OMB), and adopted in 1997 to replace the [Standard Industrial Classification \(SIC\) system](#). It was developed jointly by the [U.S. Economic Classification Policy Committee \(ECPC\)](#), [Statistics Canada](#), and Mexico's [Instituto Nacional de Estadística y Geografía](#), to allow for a high level of comparability in business statistics among the North American countries.

This official U.S. Government Web site provides the latest information on plans for NAICS revisions, as well as access to various NAICS reference files and tools. Additional information on the background and development of NAICS is available in the [History](#) section of this Web site.

CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)

- Every state must have a Unified Certification Program (UCP)
- “One-stop shopping” certification services to small, minority, and women-owned businesses seeking to participate in the DBE/ACDBE Program

Certifying Agencies

NORTHERN CALIFORNIA

- California Department of Transportation (Caltrans)
- Bay Area Rapid Transit (BART)
- City of Fresno
- San Francisco International Airport (SFO)
- Santa Clara Valley Transportation Authority (VTA)

SOUTHERN CALIFORNIA

- City of Los Angeles
- Los Angeles County Metropolitan Transportation Authority (METRO)
- San Diego County Regional Airport Authority (SDCRAA)

BENEFITS OF CERTIFICATION

- Certification is FREE
- Recognized by subrecipient local agencies in California
- Interstate certification (eligibility confirmed within 10 business days - any state)
- Federal program recognized nationwide
- Recipient of FTA, FHWA and FAA funds need to meet overall triennial DBE goals
- Billions of dollars awarded to DBE firms
- Infrastructure Investment and Jobs Act (BIL)
- Primes are required to utilize DBEs/ACDBEs to meet contract goal on DOT funded projects
- Listing in Unified Certification Program (UCP) DBE/ACDBE directories



HOW TO BECOME CERTIFIED

Apply online at, <https://californiaucp.dbesystem.com/>

The screenshot shows the homepage of the California Unified Certification Program. At the top, the title "California Unified Certification Program" is centered. Below it is a blue banner with white text: "Welcome to the California Unified Certification Program Certification Portal! The CAUCP is responsible for DBE certification in the state of California and provides firms with 'one-stop' shopping." Below the banner, there are three columns of links. The first column is titled "Vendor Certification" and contains the text "Search our database of DBE/ACDBE certified vendors" and a blue button labeled "Find a California Certifying Agency". The second column is titled "System Training" and contains the text "Learn how to fully utilize our system with a live trainer" and a blue button labeled "Training". The third column is titled "California Work Codes" and contains the text "Click the link below to view the California Work Codes Guide" and a blue button labeled "Work Code Guide". Below these columns is a section titled "UCP Members" which displays logos for BART, Caltrans, SFO, samTrans, City of Fresno, VTA, SANDIEGO, M Metro, and SFMTA.

California Unified Certification Program

Welcome to the California Unified Certification Program Certification Portal! The CAUCP is responsible for DBE certification in the state of California and provides firms with "one-stop" shopping.

To apply for DBE certification you may contact any one of the ten CUCP Certifying Partners - Los Angeles County Metropolitan Transit Authority (LA Metro), San Diego County Regional Airport Authority (SDCRAA), San Francisco Bay Area Rapid Transit District (BART), San Francisco International Airport (SFO), San Francisco Municipal Transportation Agency (SFMTA), San Mateo County Transit District (SAMTrans), Santa Clara Valley Transportation Authority (VTA), City of Los Angeles, City of Fresno, California Department of Transportation (Caltrans) - by clicking on their logo.

Vendor Certification
Search our database of DBE/ACDBE certified vendors
[Find a California Certifying Agency](#)

System Training
Learn how to fully utilize our system with a live trainer
[Training](#)

California Work Codes
Click the link below to view the California Work Codes Guide
[Work Code Guide](#)

UCP Members

The logos for the UCP Members are arranged in three columns. The first column contains BART, Caltrans, and SFO. The second column contains samTrans and City of Fresno. The third column contains VTA, SANDIEGO, M Metro, and SFMTA.

APPLICATION PROCESS

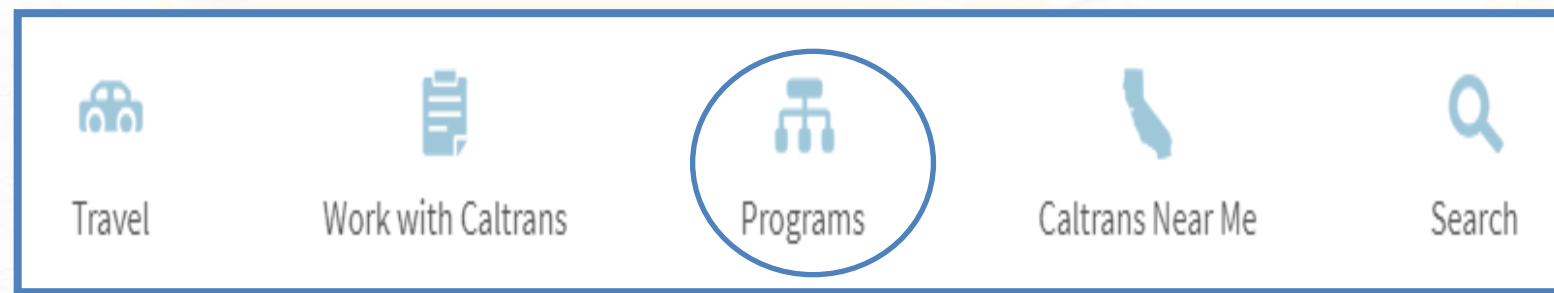
Create an Online Certification Account

- [Uniform Certification Application](#)
- [Personal Net Worth Statement](#)
- Tax ID
- Business Information and documents
- Contact Information
- Company Contact Person

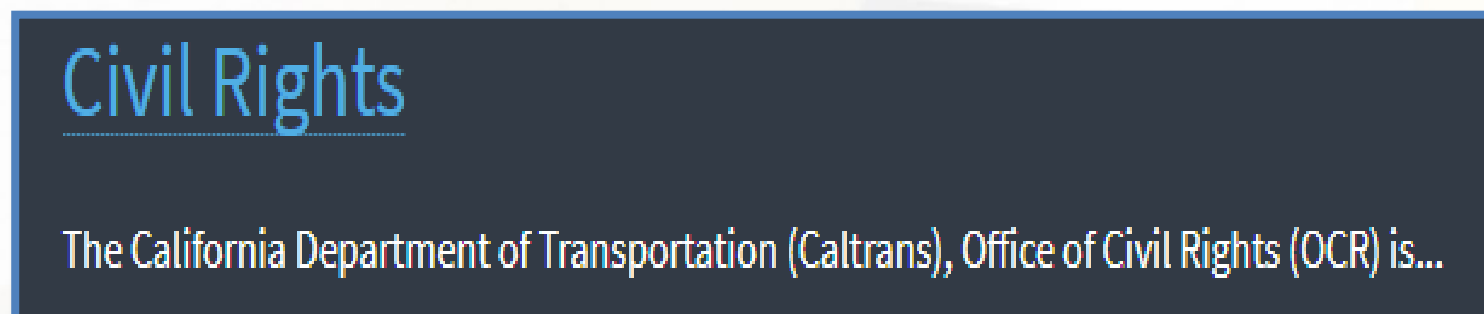


HOW TO BECOME CERTIFIED (CONT.)

1. Start at Caltrans Website (<https://dot.ca.gov/>), then click “Programs”



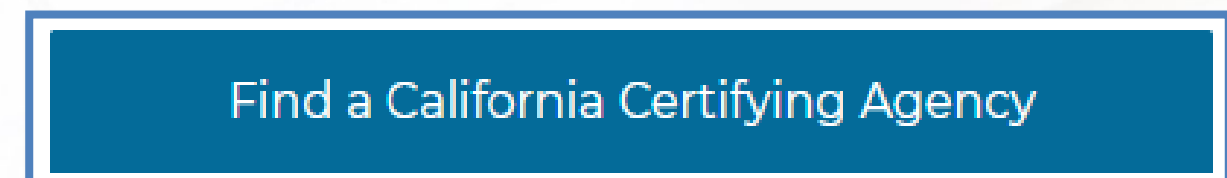
2. Click “Civil Rights”



3. Click “California Unified Certification Program”



4. Click “Find a Certifying Agency”



HOW TO BECOME CERTIFIED (CONT.)

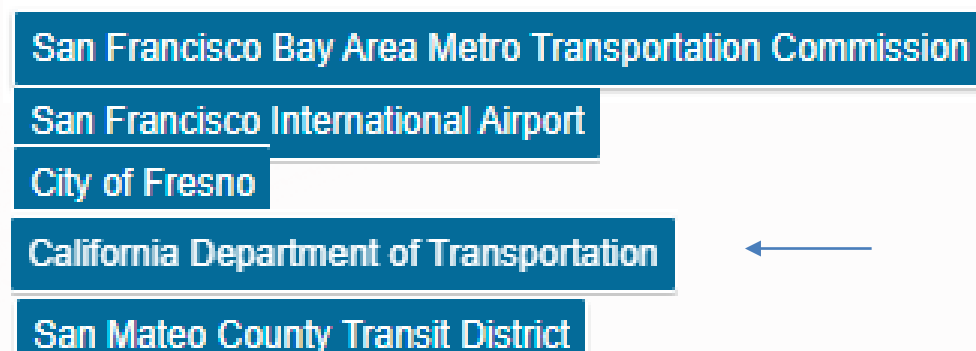
5. The Online Certification screen will populate, click “show details” under the “Is your firm located in the Northern California area?”



Is your firm located in the Central Valley/Bay area?
[Show Details](#)

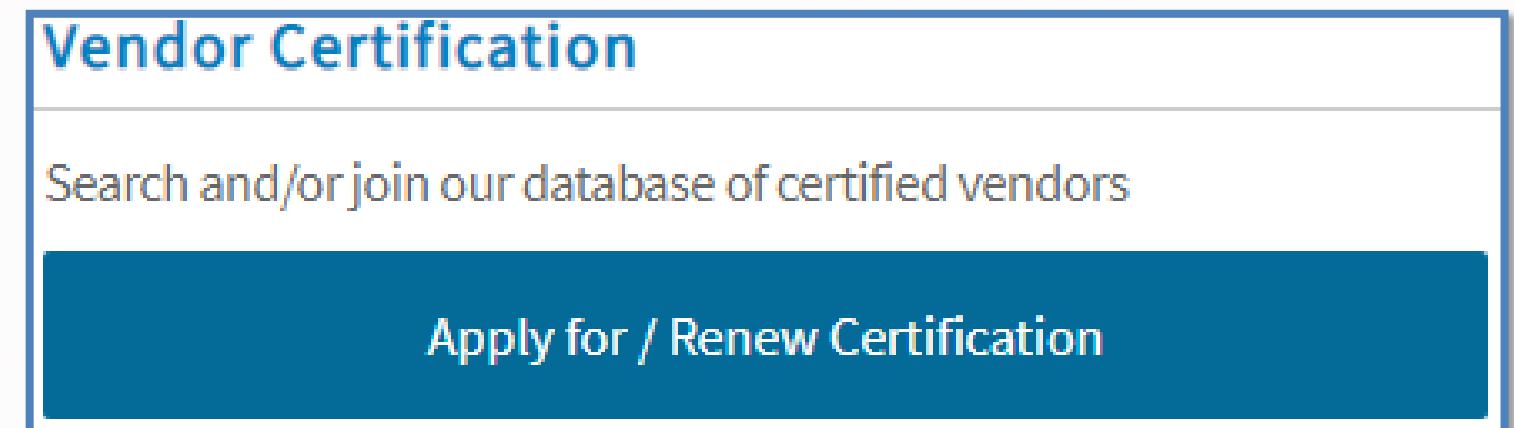
Is your firm located in the Northern California area?
[Show Details](#)

6. Click on a certifying agency in your respective areas/counties”



- San Francisco Bay Area Metro Transportation Commission
- San Francisco International Airport
- City of Fresno
- California Department of Transportation**
- San Mateo County Transit District

7. Click “Apply for/Renew Certification”

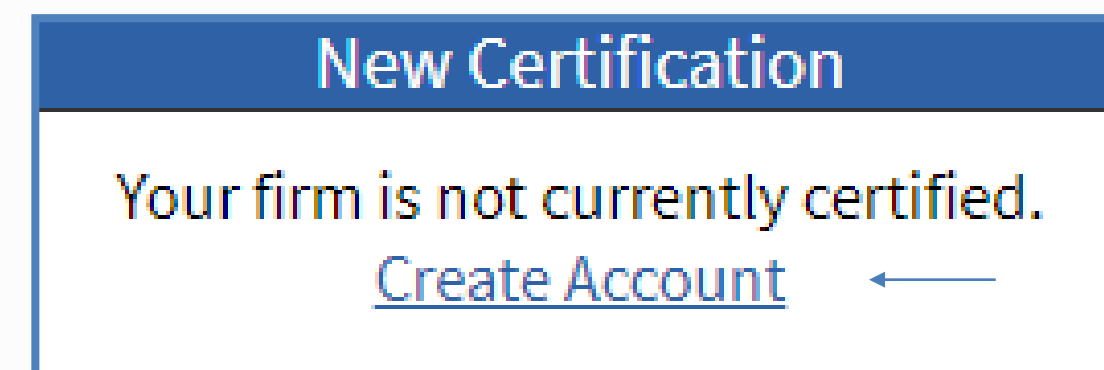


Vendor Certification

Search and/or join our database of certified vendors

[Apply for / Renew Certification](#)

8. The Online Certification screen will populate, click “Create Account”

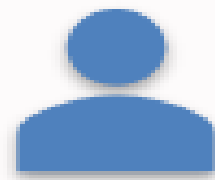


New Certification

Your firm is not currently certified.

[Create Account](#)

HOW TO BECOME CERTIFIED (CONT.)



Application is assigned to a certification analyst



Analyst to conduct final review for eligibility recommendation



Analyst conducts review for missing documentation and requests further clarification (if needed)



Site visit is scheduled and conducted



Certification determination completed

CONTACTS & RESOURCES

CONTACTS

CUCP Certifying Agencies

<https://www.transportation.gov/sites/dot.gov/files/2024-04/certifying%20agencies%20point%20of%20contact.pdf>

Business Outreach Committee

[boc_doing_business_brochure_january_2024.pdf \(goldengate.org\)](#)

RESOURCES

Day 1 Virtual Meeting Presentation & Recording

USDOT DBE Program

<https://www.transportation.gov/civil-rights/disadvantaged-business-enterprise>

USDOT DBE & ACDBE Final Rulemaking

<https://www.transportation.gov/DBEFinalRule>

USDOT Training & Recorded Sessions

<https://www.transportation.gov/dbe-rulemaking/webcast>

Eastridge to BART Regional Connector

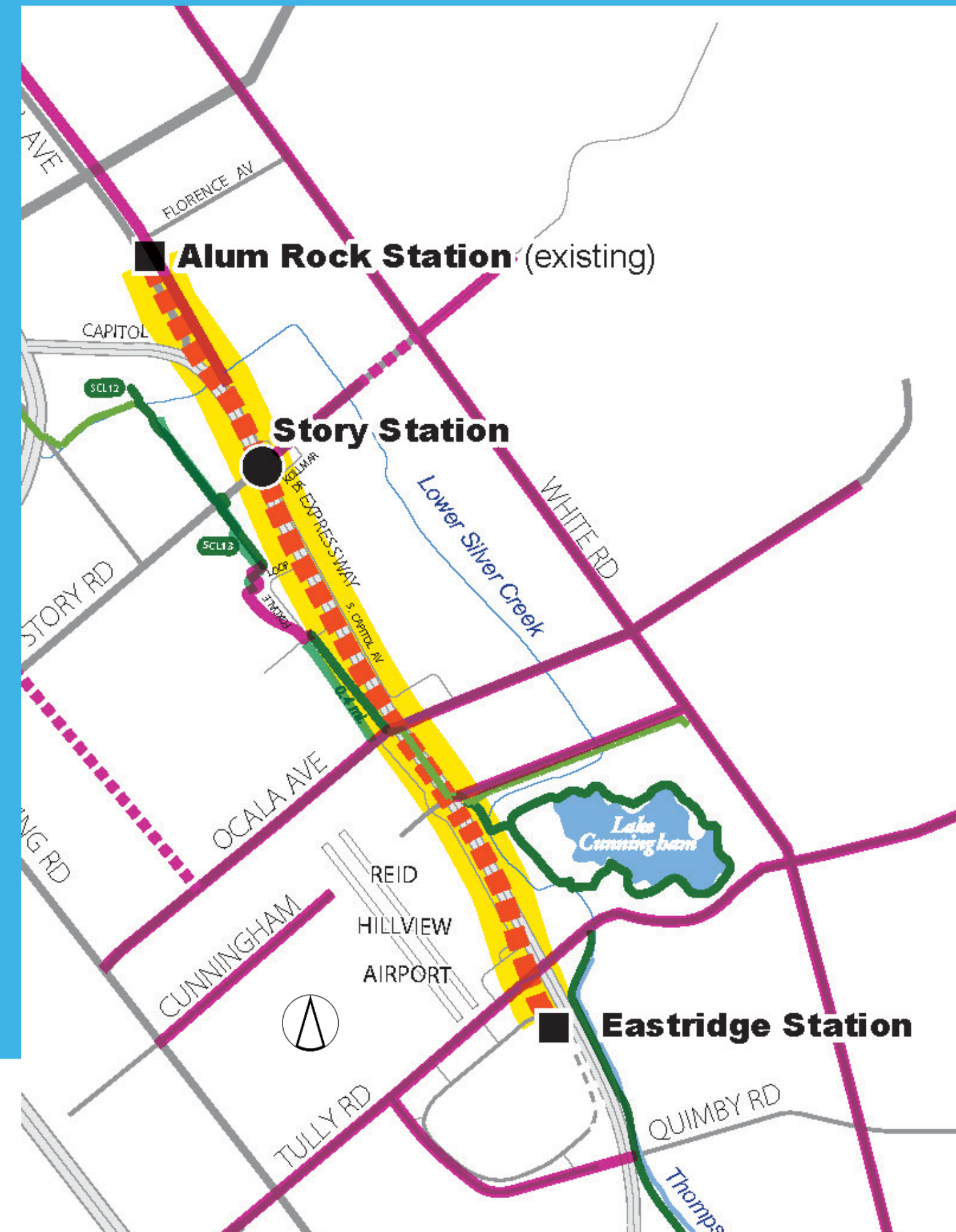
CUCP Certification Workshop



Project Scope

- Extend VTA Light Rail from South of Alum Rock Station to Eastridge
- 2.4 Miles Long
- Aerial Guideway in median of Capitol Expressway
- Minor Roadway Widening
- Construct Two Stations - Story Road and Eastridge
- Construct Pedestrian Overcrossing at Story Station
- Construct Two Traction Power Substations
- Relocate Major Utilities (Third Party)

19



Construction Contract Award



MCM-Railworks, a Joint Venture

Contract Value: \$437,161,464

Contract Duration: 1250 days

Contract Features

- Design Bid Build
- Project Labor Agreement
- SBE goal is 11.04 %
- Prime Self-Perform Requirement of 30%

Aerial Guideway Alignment (Photo Sim)



Median of Capitol Expressway



At Tully Road

At Tully Road Crossing (Photo Sim)





View from Story Road(Photo Sim)

Renovation & Maintenance Job Order Contract (JOC)

CUCP Certification

June 13, 2024

Job Order Contract (JOC) Overview

JOC Structure and Key Benefits

- ✓ A JOC is a competitively Bid contract that is used by agencies to procure construction services using a streamlined process, which allows rapid execution of constructions tasks without a full solicitation for each project.
- ✓ Structured like a Task Order Contract, with a master / umbrella Contract which is assigned a maximum \$\$ value over a specified term.
- ✓ Master JOC includes general Scope Of Work. Projects are completed by issuance of individual Task Orders under the JOC, each containing a specific Scope Of Work.
- ✓ Task Orders are firm-fixed price with lump sum pricing, based on the Unit Price Book and Contractor's Adjustment Factors.

Bid Requirements

Award of Contracts

- ✓ Procurement governed by Cal. Pub. Utility Code § 100157.
- ✓ Contract(s) awarded to lowest responsive, responsible Bidders.
- ✓ Based on lowest Adjustment Factors.
- ✓ Contracts valued at **up to** \$5M each.
- ✓ Initial 12-month term.
- ✓ May be extended for two (2) additional 12-month terms.

Job Order Contracting (JOC) Overview

Four Key Components to the JOC Program:

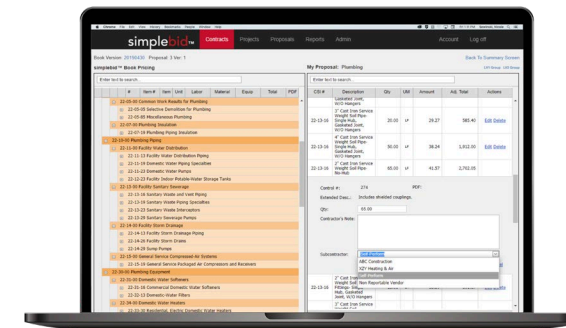
Job Order Contract



Unit Price Book (UPB)



Simplebid® Platform

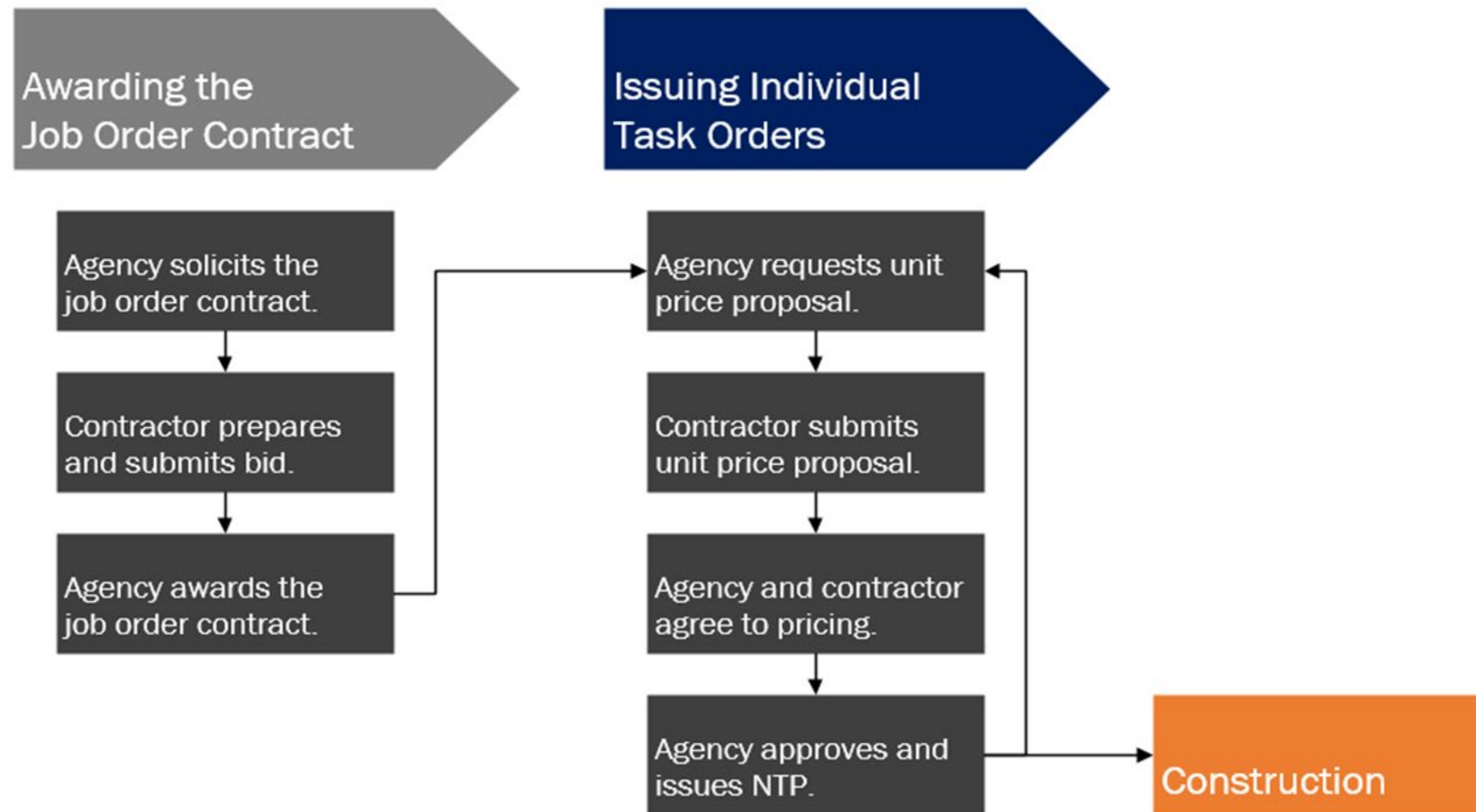


Team Collaboration



Job Order Contracting (JOC) Overview

JOC Procurement Process



Building Facilities JOC Scope

- Tenant Improvements at various buildings
 - Rest Rooms
 - Kitchen/breakroom
 - Offices
 - HVAC
 - Plumbing
 - Electric
- Task Orders for various work

Building facilities JOC

- Bids opened on May 31, 2024
- Two contractors selected
 - OREA Construction, Inc.
 - George Bianchi Construction, Inc

Future JOC Contracts

- Light rail facilities maintenance and rehabilitation
- Bus Facilities maintenance and rehabilitation

Office of Business Diversity Programs

SBE Goals – Task Orders

- ✓ Goals for participation of SBE firms will be set for each individual Task Order based upon the subcontracting opportunities and the availability of SBE Subcontractors for the specialties identified.
- ✓ A Contractor who fails to achieve the SBE Committed Goal must submit Good-Faith Efforts documentation.
- ✓ Good Faith Efforts (if the SBE Goal is not achieved) must be submitted in accordance with **Appendix C, Business Diversity Policy, and Requirements.**
- ✓ If Contractor is also a SBE firm, then list the Contractor name on the Task Order Price Proposal. Remember all SBE or DBE Primes will receive 30% SBE participation on the Task Order.

SFO

San Francisco International Airport

Noise Insulation Program
SBE Certification Workshop



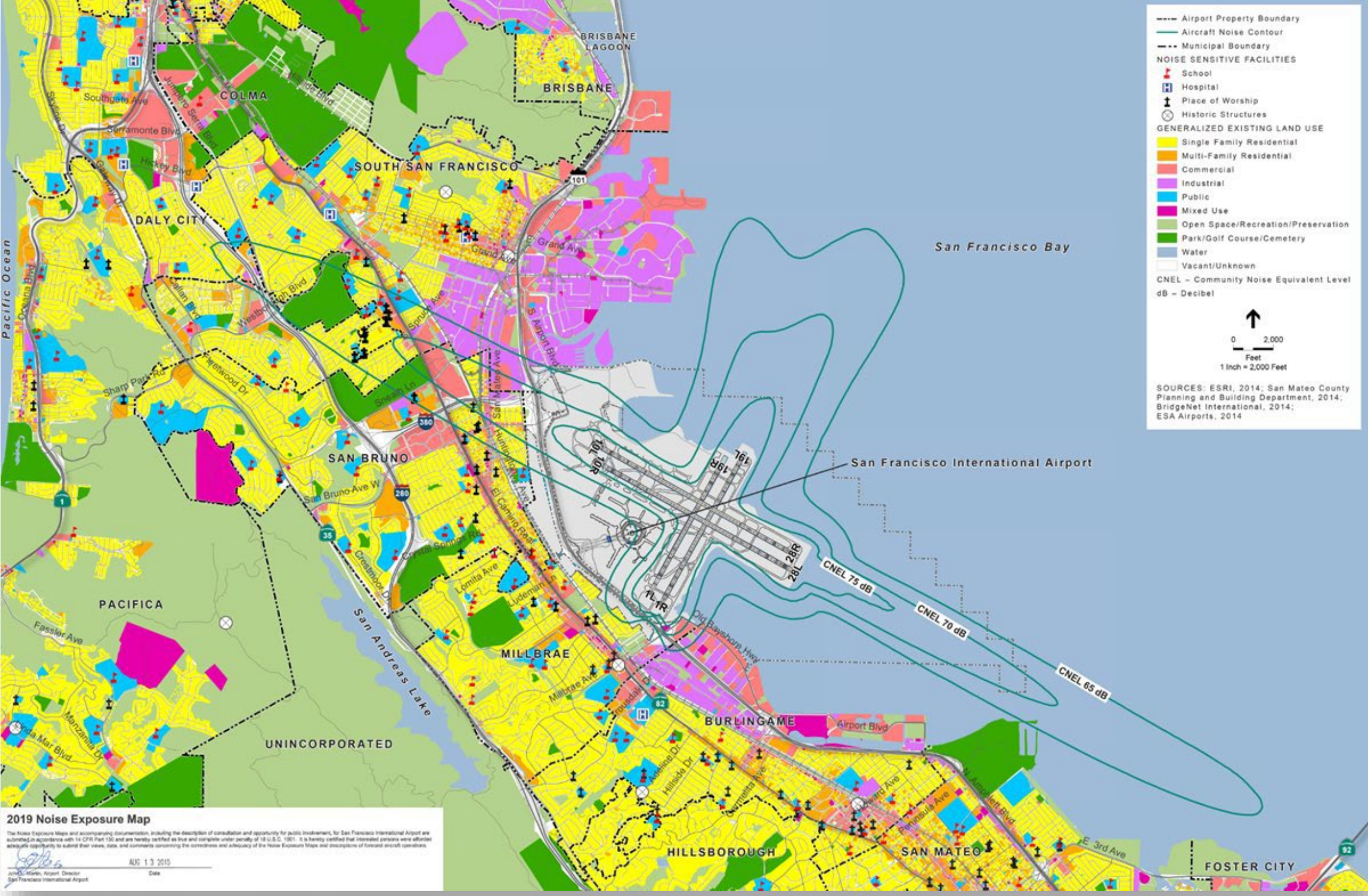
June 13, 2024

Background

SFO was the first airport in the country to prepare a Federal Aviation Regulation (FAR) Part 150 Noise Compatibility Study, allowing SFO to receive noise compatibility funding.

This translated into implementation of the Noise Insulation Program which reduced aircraft noise in more than 15,300 homes, 8 churches and 7 schools totaling more than \$200M invested back to the community to-date.

Noise Contour Map



Scope – Residential Noise Abatement

The scope of work for each property typically includes carpentry, drywall, painting, asbestos and lead abatement, and mechanical and electrical work.

- Repair or Replacement of existing windows and doors (depending on the initiative some are acoustically rated).
- Installation of Energy Recovery Ventilator (ERV) and associated electrical and duct work.



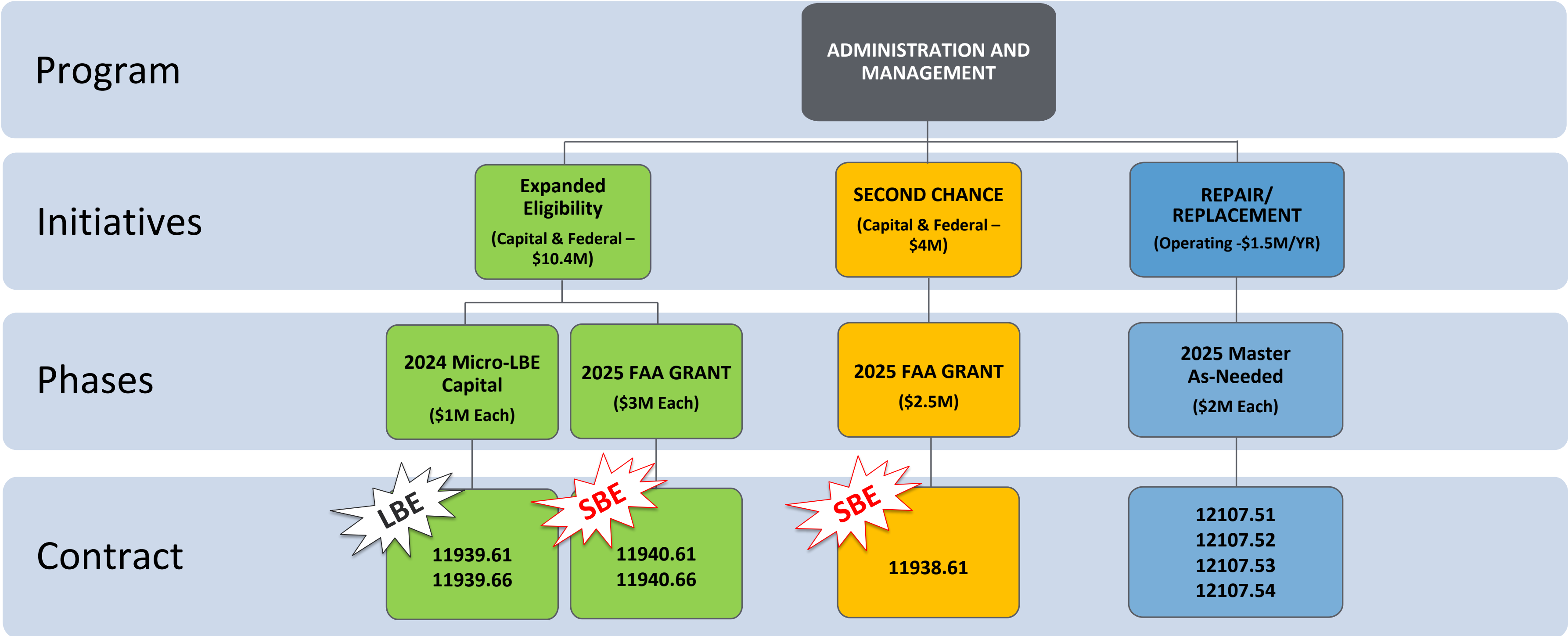
License Requirements (Prime Contractor)

A California Class “A” or “B” Contractor’s License in good standing with the California State Contractors Licensing Board (“CSLB”).

Scope of Work	California Contractor’s License Requirement
Asbestos Abatement	<ul style="list-style-type: none">• C-22
Lead Remediation	<p>Contracting for work that disturbs lead-based paint in pre-1978 housing must be trained and certified per:</p> <ul style="list-style-type: none">• 40 CFR 745 (EPA Lead-Based Paint Renovation, Repair and Painting Program)• 17 CCR, Division 1, Chapter 8 (California Department of Public Health)• 8 CCR 1532.1 (California Division of Occupational Health)• California Department of Public Health Certified

NOISE INSULATION PROGRAM (NIP)

2024-2025 Program Breakdown



Anticipated Work (5 Years)

2026 – 2031 Future SBE Opportunities

- **EI 2026 – 2030 Grant** - \$12M (85 Homes)
- **EI 2027 – 2031 Grant** - \$12M (85 Homes)
- **EI 2028 – 2032 Grant** - \$12M (85 Homes)
- **EI 2029 – 2033 Grant** - \$12M (85 Homes)

TOTAL = \$48M (~340 Homes)



SCI 2025 - ALIDA MANOR

Multi-Unit Residential

- Independent living apartment building for senior, low-income residents in South San Francisco
- Built in 1971
- Three story building with 75 apartments
- Final eligibility to be confirmed with acoustical tests (average interior noise level >45 dB?)
- Seeking FAA grant in FFY 2025
- Insulation would benefit approximately 85 residents









05/06/2024



05/07/2024









SFO Social Impact Office

The Business and Career Center

(650) 821-5021

smallbusiness@flysfo.com

International Terminal A – Level 3



Small Business Support

Events & Workshops

Resources

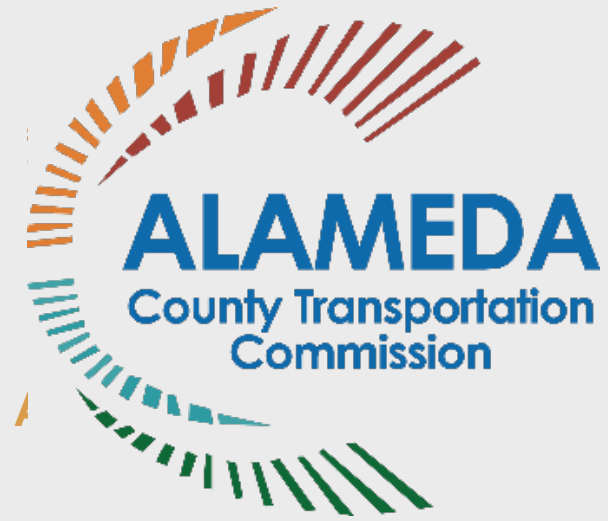
Staffing & Recruitment

Small Business Certification

Questions?

Thank you





ALAMEDA COUNTY TRANSPORTATION COMMISSION

LBCCE Program & Contracting Opportunities

June 13, 2024

Agenda

1. Local Business Contract Equity (LBCE) Program
2. Active and Upcoming Contracting Opportunities



Local Business Contract Equity Program

The Local Business Contract Equity (LBCE) Program contributes to the overall economic vitality of the County by:

1. Encouraging businesses to locate and remain in Alameda County;
2. Providing employment opportunities for residents of Alameda County;
and
3. Generating economic activity and stimulating the local economy.

Local Business Contract Equity Program (Cont'd)

Get certified under our LBCE Program! If your firm is eligible to be certified as a Local or Small Local Business Enterprise (LBE or SLBE), you can have an edge not only in our contracting opportunities by receiving a 5% credit for each certification for contract award but those of our partner entities utilizing our funding such as cities and agencies within Alameda County.

You could get up to 10% in evaluation points during the contract award process.

For more information, visit www.alamedactc.org/contract-equity or email us at certification@alamedactc.org.

Active & Upcoming Contracting Opportunities

- Current Opportunities and Six Month Lookahead:
 - East Bay Greenway Multimodal Phase 1 - North Segment Project (Oakland - Lake Merritt to Fruitvale BART)
 - Rail Safety Enhancement Program - Phase A
 - Financial Audit Services
 - Human Resources Consulting and Support Services

East Bay Greenway Multimodal Phase 1 – North Segment Project (Oakland - Lake Merritt to Fruitvale BART) Construction Management Services Proposals Due Wednesday, June 19, 2024, at 3:00 p.m. PT

Summary of Project Scope:

- Alameda CTC is seeking Construction Management services associated with the East Bay Greenway Multimodal – North Segment Project located in Oakland and San Leandro. The scope of services will include pre-construction work such as constructability and biddability review of the Plans, Specification and Estimate milestone deliverables, services needed to Advertise, Award and Administer the construction project consistent with Alameda CTC's Construction Management Administration Guide as well as provide close-out services.
- **Equity Program Requirements:** DBE
- **Procurement Portal:** <https://alamedactc.bonfirehub.com>

Rail Safety Enhancement Program – Phase A Anticipated Advertisement August 27, 2024

Project Manager and Contact Info:

- Jhay Delos Reyes, Director of Project Delivery jdelosreyes@alamedactc.org

Summary of Project Scope:

- Alameda CTC will seek Construction Management services associated with the Rail Safety Enhancement Program – Phase A located at various at-grade crossings within Alameda County. The scope of services will include pre-construction work such as constructability and biddability review of the Plans, Specification and Estimate milestone deliverables, services needed to Advertise, Award and Administer the construction project consistent with Alameda CTC's Construction Management Administration Guide as well as provide close-out services.
- **Equity Program Requirements:** DBE

Financial Audit Services

Anticipated Advertisement October 1, 2024

Project Manager and Contact Info:

- Yoana Navarro, Accounting Manager ynavarro@alamedactc.org

Summary of Project Scope:

- Alameda CTC will seek financial audit services to perform audits of all funds of Alameda CTC and the Sunol JPA in accordance with the auditing standards generally accepted in the United States of America. The Auditor will provide draft basic financial statements including the government-wide financial statements; fund financial statements; related notes; required supplementary information; other supplementary information; and auditor's reports which will be reviewed and edited by Alameda CTC for concurrence.
- **Equity Program Requirements:** LBCE

Human Resources Consulting and Support Services Anticipated Advertisement November 2024

Project Manager and Contact Info:

- Angelica Khan, Human Resources Manager akhan@alamedactc.org

Summary of Project Scope:

- Alameda CTC intends to retain a qualified consultant or consultant team to provide professional and technical human resource services. The intent of this RFP is to obtain a consultant with the experience and expertise necessary to assist in planning, organizing, and implementing Alameda CTC's human resources functions and operations.
- **Equity Program Requirements:** LBCE

Contact Us

LEARN MORE

- See our contracting opportunities and register as a vendor: alamedactc.org/contracting-opportunities
- Certify with us: alamedactc.org/contract-equity

CERTIFICATION TEAM

Email: certification@alamedactc.org



Thank You

For more information, visit

www.AlamedaCTC.org

THANK YOU!



<https://www.surveymonkey.com/r/MK7DT2N>

Please provide your feedback to help us improve.

