



Agenda Item No. 6b

To: Finance-Auditing Committee/Committee of the Whole  
Meeting of November 16, 2007

From: Joseph M. Wire, Auditor-Controller  
Celia G. Kupersmith, General Manager

Subject: **REVIEW OF FINANCIAL STATEMENTS FOR FOUR MONTHS  
ENDING OCTOBER 31, 2007**  
**B) STATEMENT OF CAPITAL PROGRAMS AND EXPENDITURES**

### **Recommendation**

The following report is provided for informational purposes and no action is recommended.

### **Summary**

Total expenditures for four months ending October 31, 2007, were \$4,577,281 funded with \$2,382,848 grant funds and \$2,194,433 District funds. Total expenditures were 11.67% of the \$39.2 million FY 07/08 Capital Budget. Seismic Retrofit activities represent 75.00% of the total budget. Seismic expenditures through October were 8.98% of budget and all other project expenditures were 13.25% of budget.

Attachment 1 to this report provides a summary of major project activities for four months ending October 31, 2007. Attachment 2 provides a one-page summary of FY 07/08 capital project budget and expenditures through October and Attachment 3 provides the detailed capital program financial statement titled "Capital Budget and Expenditures for Four Months Ending 10/31/2007."

### **Fiscal Impact**

There is no fiscal impact associated with this informational report.

**CAPITAL PROJECT EXPENDITURE ACTIVITIES**  
**July 1, 2007 through October 31, 2007**

EXPENDITURES	PROJECT	ACTIVITIES
<b>BRIDGE</b>		
\$1,084,049	SEISMIC PHASE II - SOUTH VIADUCT	Utility Work and Cleanup Work is Progressing
\$220,730	SEISMIC PHASE IIIA - NO. ANCHORAGE	Bid Docs Revised and Contract Re-Advertised
\$66,694	ACCESS PLATFORMS (NORTH APPROACH)	Erection of the Platforms are Nearly Complete
\$21,209	BRIDGE FACILITY REHAB	Rehab of the District Secretary's Offices Completed
\$1,255,604	FASTRAK SYSTEM REPLACEMENT	Staff is Working with Consultants on Detailing the System Design
\$151,359	FY2008 BRIDGE CAPITAL EQUIPMENT	HVAC Units, Air Compressor & Dryer and Crash Attenuator Purchased
\$20,870	S.APPROACH SECURITY IMPROVEMENT	Staff Preparing Design Plans, Scheduled to Advertise in Feb08
<b>BUS</b>		
\$11,690	ADVANCED COMMUNICATION & INFO SYSTEM	Staff is Working with Consultant on the Design Plan
\$210,118	SANTA ROSA FUEL TANKS REPLACEMENT	New Tanks Arrived and are Being Installed
\$3,000	SAN RAFAEL ADM BLDGE HVAC REPLACEMENT	Staff is Working with Consultants to Prepare Design Plans & Specs
\$522,364	REPLACEMENT BUSES - 10 ARTICS	All 10 Coaches Have Been Recvd, Staffs are Preparing them for Service
<b>FERRY</b>		
\$262,491	SPAULDING REBURBISHMENT	MS Marin is Back in Service, Proceeding with Contract Close-Out
\$677	FERRY FAREGATES & TICKET SYSTEM	Staff is Working with Consultants to Prepare Technical Specs
\$277,752	FERRY FUEL PIPING SYSTEM REPLACEMENT	Pipe Installation Completed and Project has been Accepted
\$17,051	FERRY MISC FACILITY REHAB	Work on the Offices has Begun / Preparing to Install HVAC Units
\$165,293	STORAGE & INSPECTION STATION	Site Work Completed and Building is Being Installed
\$81,921	COMM & SURVEILLANCE EQUIPMENT	Consultants to Develop Drawings & Specs for Surveillance System
\$6,563	REPOWER MV MENDOCINO	Preliminary Design Feasibility Study Completed
\$11,534	FY2008 FERRY CAPITAL EQUIPMENT	Major Componet Parts Purchased
<b>DISTRICT</b>		
\$53,296	FINANCIAL & MAINT MGMT SYSTEM	Staff Continues Work on the Implementation of Remaining Modules
\$34,507	FY2008 COMPUTER/COMMUNICATIONS EQUIP	Hardware to Expand SAN and Trend Micro Hardware & Software Purchased
\$96,230	FY2008 DISTRICT CAPITAL EQUIPMENT	6 District Vehicle Received
<b>OTHER</b>		
\$2,280	MISCELLANEOUS PROJECTS	Various project activities
<b>\$4,577,281</b>	<b>Total</b>	

**FY 2007/08 CAPITAL BUDGET AND EXPENDITURE SUMMARY**  
**July 1, 2007 through October 31, 2007**

<b>PROJECT TITLE</b>	<b>TOTAL PROJECT</b>	<b>FY 2007/2008 BUDGET</b>	<b>EXPENDITURES THRU 10/31/2007</b>	<b>% BUDGET EXPENDED</b>
<b>BRIDGE DIVISION</b>				
SEISMIC PHASE III - DESIGN	5,000,000	470,426	474	0.10%
SEISMIC PHASE II - SOUTH VIADUCT	183,561,500	2,617,779	1,084,049	41.41%
SEISMIC PHASE IIIA - NO. ANCHORAGE	50,000,000	11,448,380	220,730	1.93%
SEISMIC PHASE IIIB	110,000,000	-	-	0.00%
MOVEABLE MEDIAN BARRIER-PRELIM INVESTIG	2,000,000	100,000	6	0.01%
MAIN CABLE RESTORATION	30,000,000	500,002	-	0.00%
ACCESS PLATFORMS (NORTH APPROACH)	3,015,000	1,545,003	66,694	4.32%
BRIDGE FACILITY REHAB	320,000	149,307	21,209	14.20%
SUICIDE DETERRENT - PRELIM INVESTIGATION	2,000,000	1,018,103	-	0.00%
FASTRAK SYSTEM REPLACEMENT	4,830,000	2,296,887	1,255,604	54.67%
SOUTH TOWER ACCESS PLATFORMS	2,000,000	225,000	-	0.00%
BRIDGE SECURITY ENHANCEMENT	200,000	200,000	-	0.00%
FY2008 BRIDGE CAPITAL EQUIPMENT	903,458	903,458	151,359	16.75%
TOLL PLAZA EMPLOYEE PARKING LOT	1,100,000	1,100,000	-	0.00%
S.APPROACH SECURITY IMPROVEMENT	3,000,000	1,000,000	20,870	2.09%
FY2008 CAFÉ/GIFT CENTER EQUIPMENT	34,100	34,100	-	0.00%
<b>TOTAL BRIDGE</b>	<b>397,964,058</b>	<b>23,608,444</b>	<b>2,820,995</b>	<b>11.95%</b>
<b>BUS DIVISION</b>				
REPLACE FAREBOXES	2,805,100	2,800,397	-	0.00%
ADVANCED COMMUNICATION & INFO SYSTEM	10,000,000	950,510	11,690	1.23%
HASTUS UPGRADE	395,000	53,732	1,800	3.35%
SANTA ROSA FUEL TANKS REPLACEMENT	812,000	800,353	210,118	26.25%
FOLSOM STREET BUS STOP	75,000	35,000	-	0.00%
SAN RAFAEL ADM BLDGE HVAC REPLACEMENT	500,000	346,770	3,000	0.87%
BODY SHOP ROOF REPLACEMENT	350,000	350,000	-	0.00%
REPLACEMENT BUSES - 10 ARTICS	6,600,000	1,031,810	522,364	50.63%
REPLACE 13 - 45' BUSES	6,486,500	100,000	-	0.00%
SAN RAFAEL TRANSIT CENTER IMPROVEMENT	365,000	50,000	-	0.00%
SAN RAFAEL DATE CENTER	1,110,000	200,000	-	0.00%
SAN RAFAEL & SANTA ROSA WASH RACKS	900,000	100,000	-	0.00%
REPLACE 5 BUS SHELTERS	50,000	50,000	-	0.00%
FY2008 BUS CAPITAL EQUIPMENT	279,000	279,000	-	0.00%
BUS PERIMETER SECURITY EQUIPMENT	253,000	253,000	-	0.00%
<b>TOTAL BUS</b>	<b>30,980,600</b>	<b>7,400,571</b>	<b>748,972</b>	<b>10.12%</b>
<b>FERRY DIVISION</b>				
CORTE MADERA MARSH RESTORATION	1,170,000	10,007	-	0.00%
SPAULDING REBURISHMENT	7,871,000	356,766	262,491	73.58%
GANGWAY & PIERS - DESIGN	2,000,000	250,111	-	0.00%
FERRY FAREGATES & TICKET SYSTEM	1,600,000	589,766	677	0.11%
FERRY FUEL PIPING SYSTEM REPLACEMENT	718,000	242,421	277,752	114.57%
FERRY MISC FACILITY REHAB	528,000	507,429	17,051	3.36%
STORAGE & INSPECTION STATION	357,000	350,193	165,293	47.20%
COMM & SURVEILLANCE EQUIPMENT	245,000	244,847	81,921	33.46%
VESSEL LOADING DOOR STUDY	30,000	28,247	-	0.00%
BERTH DREDGING	1,500,000	100,000	-	0.00%
HIGH SPEED VESSEL REPLACEMENT	12,000,000	1,000,008	-	0.00%
MAIN POPULSION EQUIPMENT	85,000	85,000	-	0.00%
REPOWER MV MENDOCINO	2,300,000	2,300,000	6,563	0.29%
UPGRADE AIS ON SPAULDINGS	45,000	45,000	-	0.00%
ICE MACHINES FOR VESSELS	17,000	17,000	-	0.00%
REPLACE DECK COVERING	13,000	13,000	-	0.00%
FY2008 FERRY CAPITAL EQUIPMENT	23,000	23,000	11,534	50.15%
FERRY SECURITY SHELTER	325,000	325,000	-	0.00%
<b>TOTAL FERRY</b>	<b>30,827,000</b>	<b>6,487,796</b>	<b>823,281</b>	<b>12.69%</b>
<b>DISTRICT DIVISION</b>				
FINANCIAL & MAINT MGMT SYSTEM	4,445,000	1,229,690	53,296	4.33%
PAYROLL OFFICE RENOVATION	25,000	25,000	-	0.00%
FY2008 COMPUTER/COMMUNICATIONS EQUIP	186,000	186,000	34,507	18.55%
FY2008 DISTRICT CAPITAL EQUIPMENT	298,230	298,230	96,230	32.27%
<b>TOTAL DISTRICT</b>	<b>4,954,230</b>	<b>1,738,920</b>	<b>184,033</b>	<b>10.58%</b>
<b>CONSOLIDATED TOTAL</b>	<b>464,725,888</b>	<b>39,235,730</b>	<b>4,577,281</b>	<b>11.67%</b>
<b>Seismic Retrofit Projects</b>	<b>348,561,500</b>	<b>14,536,584</b>	<b>1,305,253</b>	<b>8.98%</b>
<b>Non-Seismic Projects</b>	<b>116,164,388</b>	<b>24,699,146</b>	<b>3,272,027</b>	<b>13.25%</b>
<b>Total</b>	<b>464,725,888</b>	<b>39,235,730</b>	<b>4,577,281</b>	<b>11.67%</b>

ATTACHMENT 3

**Golden Gate Bridge, Highway & Transportation District  
Consolidated Capital Project Budget and Expenditure  
Four Months Ending 10/31/2007**

<b>Capital Project</b>	<b>Total Project Budget</b>	<b>Prior Year Expenditure</b>	<b>Current Budget</b>	<b>Current Expenditure</b>	<b>Current Balance</b>	<b>Future Balance</b>
District Division	4,954,230	2,875,310	1,738,920	184,033	1,554,887	340,000
Bridge Division	397,964,058	191,572,414	23,608,444	2,820,995	20,787,449	182,783,200
Bus Division	30,980,600	6,218,530	7,400,571	748,972	6,651,599	17,361,500
Ferry Division	30,827,000	8,350,904	6,487,796	823,281	5,664,514	15,988,300
<b>Total</b>	<b>464,725,888</b>	<b>209,017,158</b>	<b>39,235,730</b>	<b>4,577,281</b>	<b>34,658,449</b>	<b>216,473,000</b>
Grant Funding		201,188,836	26,933,345	2,382,848		
District Funding		7,828,323	12,302,385	2,194,433		

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Four Months Ending 10/31/2007  
District Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Funding Other
Financial & Maint Mgmt System	0117	4,445,000	2,875,310	1,229,690	53,296	1,176,394.10	340,000.00	20%	80%
Payroll Office Renovation	0801	25,000	-	25,000	-	25,000.00	-	100%	0%
FY08 Computer/Comm Equipment	0802	186,000	-	186,000	34,507	151,493.02	-	100%	0%
FY08 DIST Capital Equipment	0806	298,230	-	298,230	96,230	201,999.97	-	100%	0%
<b>Division Totals</b>		<b>4,954,230</b>	<b>2,875,310</b>	<b>1,738,920</b>	<b>184,033</b>	<b>1,554,887</b>	<b>340,000</b>		
Grant Funding			2,300,248	983,752	42,637				
District Funding			575,062	755,168	141,396				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Four Months Ending 10/31/2007  
Bridge Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Funding Other
Access Platforms (North Approach)	0301	3,015,000	1,469,996.88	1,545,003	66,694	1,478,309	-	100%	0%
Bridge Facility Rehab	0605	320,000	60,792.98	149,307	21,209	128,098	109,900	100%	0%
Suicide Deterrent / Investigation	0610	2,000,000	981,896.52	1,018,103	-	1,018,103	-	0%	100%
Fastrak System Replacement	0621	4,830,000	2,533,113.43	2,296,887	1,255,604	1,041,283	-	100%	0%
South Tower Access Platforms	0705	2,000,000	-	225,000	-	225,000	1,775,000	100%	0%
Bridge Security Enhancement	0820	200,000	-	200,000	-	200,000	-	0%	100%
FY08 Bridge Capital Equipment	0803	903,458	-	903,458	151,359	752,099	-	100%	0%
Toll Plaza Employee Parking Lot	0804	1,100,000	-	1,100,000	-	1,100,000	-	100%	0%
South Approach Security Improvement	0805	3,000,000	-	1,000,000	20,870	979,130	2,000,000	100%	0%
FY08 Café/Gift Center Equipment	0807	34,100	-	34,100	-	34,100	-	100%	0%
Seismic Phase III-Design Revw	9102	5,000,000	4,229,574.03	470,426	474	469,952	300,000	0%	100%
Seismic Phase II-SAV Construction	9205	183,561,500	180,943,721.46	2,617,779	1,084,049	1,533,730	(0)	0%	100%
Seismic Phase IIIA - No. Anchorage	9206	50,000,000	551,620.49	11,448,380	220,730	11,227,649	38,000,000	0%	100%
Seismic Phase IIIB	9207	110,000,000	-	-	-	-	110,000,000	0%	100%
Moveable Median Barrier - Prelim Invest.	0820	2,000,000	-	100,000	6	99,994	1,900,000	100%	0%
Main Cable Restoration	9826	30,000,000	801,698.22	500,002	-	500,002	28,698,300	100%	0%
<b>Division Totals</b>		<b>397,964,058</b>	<b>191,572,414.01</b>	<b>23,608,444</b>	<b>2,820,995</b>	<b>20,787,449</b>	<b>182,783,200</b>		
Grant Funding			186,706,813	15,754,688	1,305,253				
District Funding			4,865,602	7,853,756	1,515,742				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Four Months Ending 10/31/2007  
Bus Division**

<b>Capital Project</b>	<b>Project ID</b>	<b>Total Project Budget</b>	<b>Prior Year Expenditure</b>	<b>Current Budget</b>	<b>Current Expenditure</b>	<b>Current Balance</b>	<b>Future Balance</b>	<b>Funding District</b>	<b>Funding Other</b>
Advanced Comm. & Information System	0502	10,000,000	249,490.19	950,510	11,690	938,820	8,800,000	20%	80%
Hastus Upgrade	0611	395,000	341,268.41	53,732	1,800	51,932	(0)	20%	80%
Santa Rosa Fuel Tanks Replacement	0613	812,000	11,647.50	800,353	210,118	590,235	-	63%	37%
Folsom Street Bus Stop	0615	75,000	40,000.00	35,000	-	35,000	-	52%	48%
San Rafael Adm Bldg HVAC Replace	0712	500,000	3,230.00	346,770	3,000	343,770	150,000	100%	0%
Body Shop Roof Replacement	0713	350,000	-	350,000	-	350,000	-	100%	0%
Replacement Buses - 10 Artics	0721	6,600,000	5,568,190.11	1,031,810	522,364	509,446	-	0%	100%
Replacement Buses - 13 - 45' Buses	0808	6,486,500		100,000	-	100,000	6,386,500	19%	81%
San Rafael Transit Center Improvement	0809	365,000		50,000	-	50,000	315,000	0%	100%
San Rafael Data Center	0810	1,110,000		200,000	-	200,000	910,000	30%	70%
San Rafael & Santa Rosa Wask Racks	0811	900,000		100,000	-	100,000	800,000	11%	89%
Replace 5 Buse Shelters	0812	50,000		50,000	-	50,000	-	100%	0%
FY08 Bus Capital Equipment	0813	279,000		279,000	-	279,000	-	100%	0%
Bus Perimeter Security Equipment		253,000	-	253,000	-	253,000	-	0%	100%
Replace Fareboxes	9707	2,805,100	4,703.33	2,800,397	-	2,800,397	-	20%	80%
<b>Division Totals</b>		<b>30,980,600</b>	<b>6,218,529.54</b>	<b>7,400,571</b>	<b>748,971.74</b>	<b>6,651,599</b>	<b>17,361,500</b>		
Grant Funding			6,068,069	5,001,451	610,900				
District Funding			150,460	2,399,120	138,072				

**Golden Gate Bridge, Highway & Transportation District  
Capital Project Budget and Expenditure Details  
Four Months Ending 10/31/2007  
Ferry Division**

Capital Project	Project ID	Total Project Budget	Prior Year Expenditure	Current Budget	Current Expenditure	Current Balance	Future Balance	Funding District	Other
Spaulding Refurbishment	0318	7,871,000	7,514,234.24	356,766	262,491	94,275	-	20%	80%
Gangway & Piers-Design	0503	2,000,000	1,889.03	250,111	-	250,111	1,748,000	20%	80%
Ferry Faregates & Ticket System	0514	1,600,000	70,233.68	589,766	677	589,089	940,000	0%	100%
Ferry Fuel Piping System Replacement	0618	718,000	475,578.53	242,421	277,752	(35,331)	-	100%	0%
Ferry Misc Facility Rehab	0619	528,000	20,571.02	507,429	17,051	490,378	-	100%	0%
Storage & Inspection Station	0715	357,000	6,807.00	350,193	165,293	184,900	-	17%	83%
Comm & Surveillance Equipment	0716	245,000	152.72	244,847	81,921	162,926	-	15%	85%
Vessel Loading Door Study	0717	30,000	1,752.89	28,247	-	28,247	-	100%	0%
Berth Dredging	0719	1,500,000	-	100,000	-	100,000	1,400,000	20%	80%
High Speed Vessel Replacement	0720	12,000,000	30,992.16	1,000,008	-	1,000,008	10,969,000	20%	80%
Main Propulsion Equipment	0814	85,000		85,000	-	85,000	-	20%	80%
Repower MV Mendocino	0815	2,300,000		2,300,000	6,563	2,293,438	-	0%	100%
Upgrade Navigation Equip (AIS)-Spauldings	0816	45,000		45,000	-	45,000	-	20%	80%
Ice Machines for Vessels	0817	17,000		17,000	-	17,000	-	100%	0%
Replace Deck Covering	0818	13,000		13,000	-	13,000	-	20%	80%
FY08 Ferry Capital Equipment	0819	23,000		23,000	11,534	11,467	-	100%	0%
Ferry Security Shelter		325,000	-	325,000	-	325,000	-	0%	100%
Corte Madera Marsh Restoration	9710	1,170,000	228,693.11	10,007	-	10,007	931,300	100%	0%
<b>Division Totals</b>		<b>30,827,000</b>	<b>8,350,904</b>	<b>6,487,796</b>	<b>823,281</b>	<b>5,664,514</b>	<b>15,988,300</b>		
Grant Funding			6,113,706	5,193,454	424,058				
District Funding			2,237,199	1,294,341	399,223				