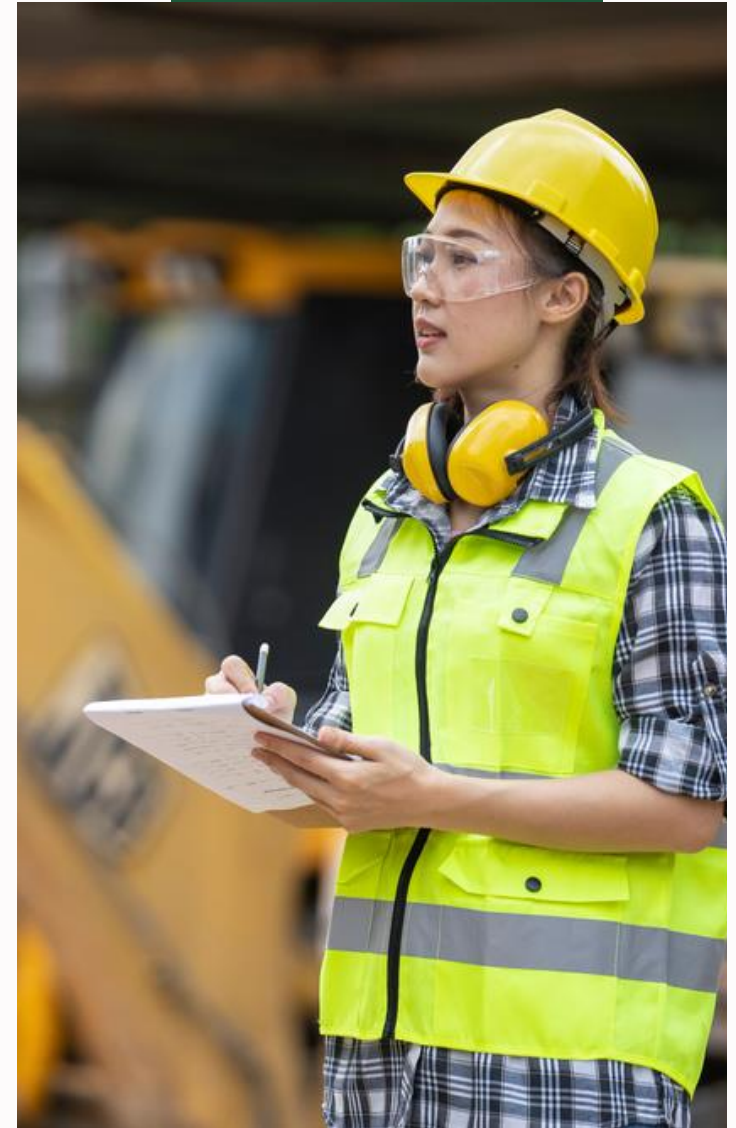




In-Person Workshop

# DBE/ACDBE CERTIFICATION WORKSHOP

JUNE 29, 2023 | 10:00 A.M.



# Content

01

Overview of DBE/ACDBE Program

02

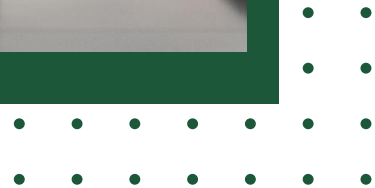
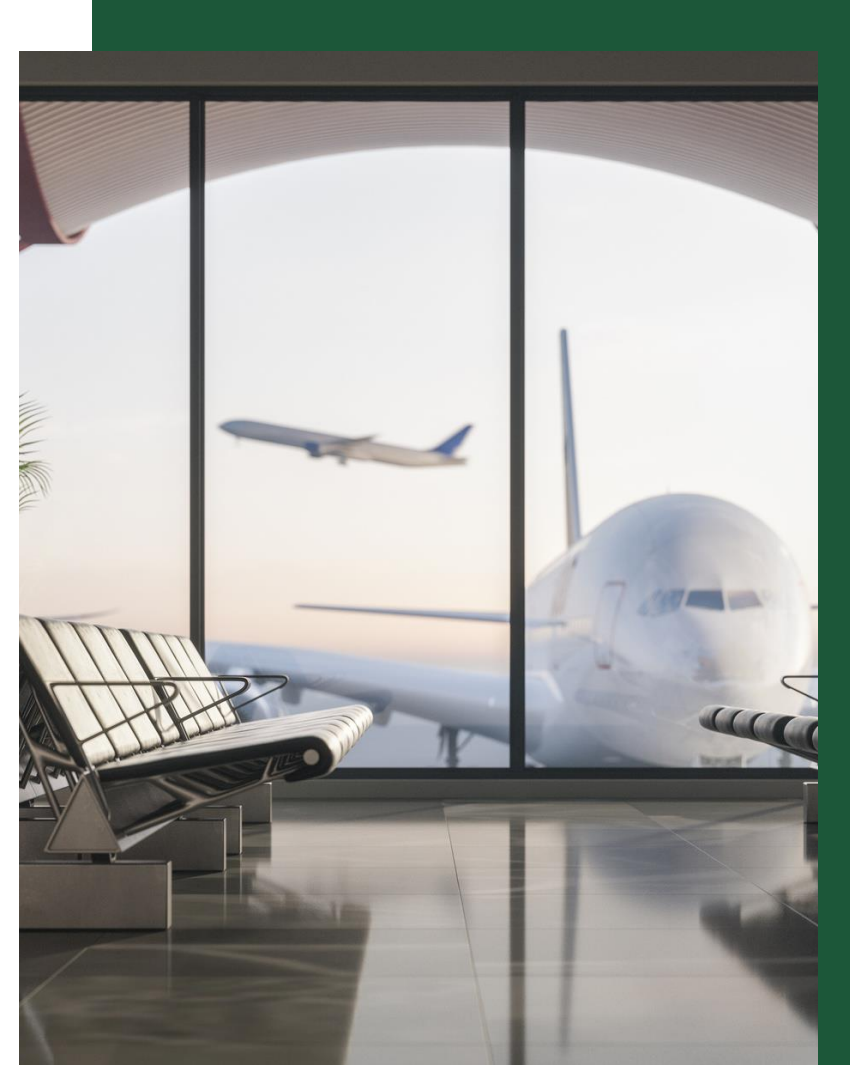
Benefits of Certification

03

How to Become Certified

04

What's Next?



# U.S. DOT Program

Operating Administrations that oversee the daily administration of the program by recipients and enforce compliance.

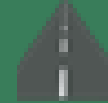
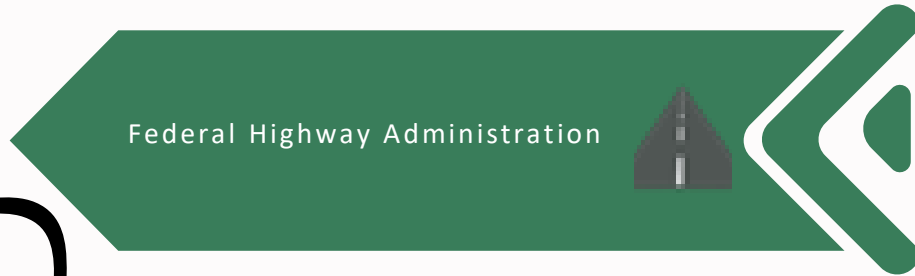
01



Federal Transit Administration

Federal Highway Administration

02



Federal Aviation Administration

03



U.S. DOT Regulations  
49 CFR Part 23

U.S. DOT Regulations  
49 CFR Part 26  
(as amended)

# Objectives

## Objective 01

To ensure nondiscrimination in the award and administration of DOT-assisted contracts in the Department's highway, transit, and airport financial assistance programs;

## Objective 02

To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;

## Objective 03

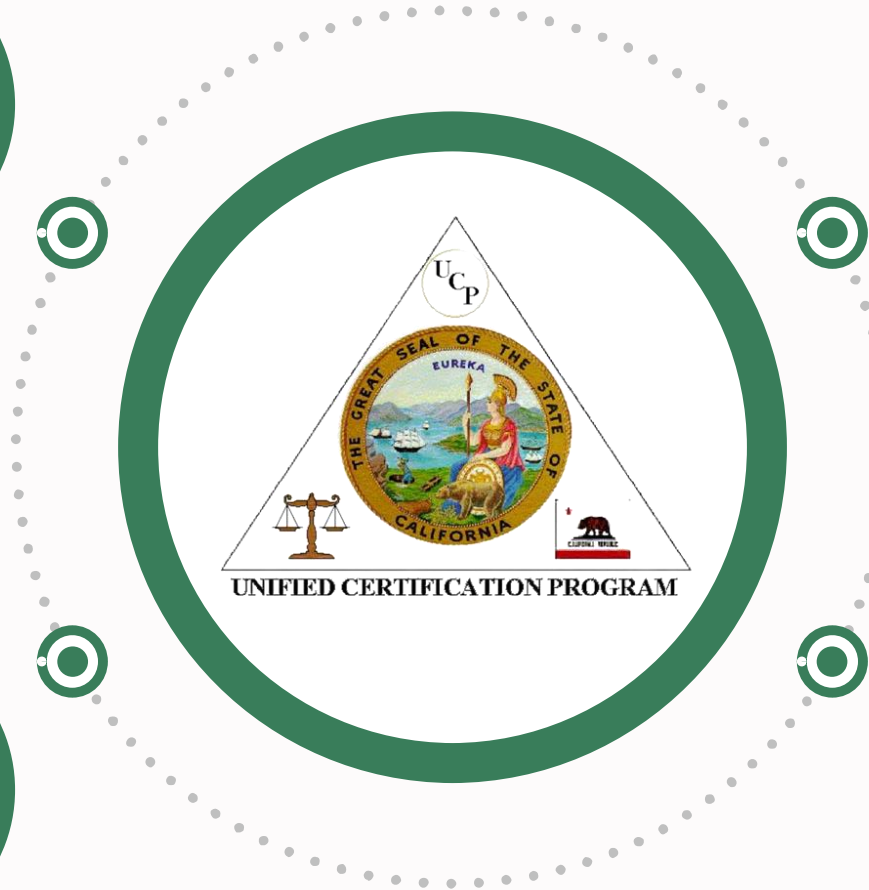
To ensure that the Department's DBE program is narrowly tailored in accordance with applicable law;

## Objective 04

To ensure that only firms that fully meet this part's eligibility standards are permitted to participate as DBEs;

## Objective 05

To help remove barriers to the participation of DBEs in DOT-assisted contracts;



# Objectives

## Objective 06

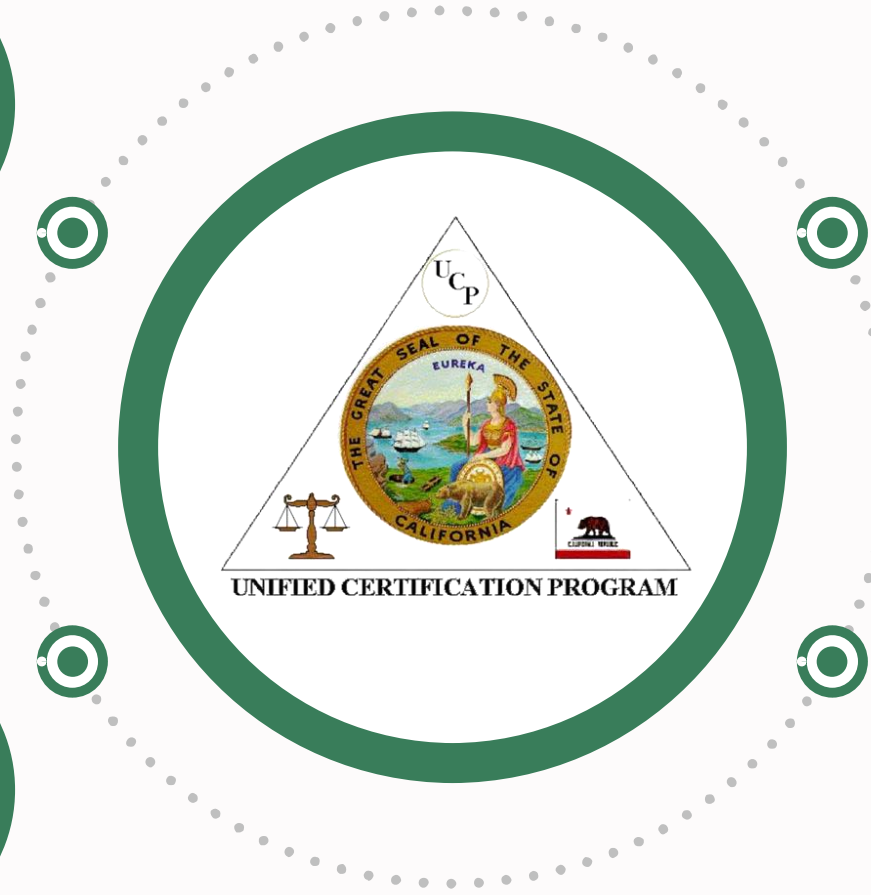
To promote the use of DBEs in all types of federally-assisted contracts and procurement activities conducted by recipients.

## Objective 07

To assist the development of firms that can compete successfully in the marketplace outside the DBE program; and

## Objective 08

To provide appropriate flexibility to recipients of Federal financial assistance in establishing and providing opportunities for DBEs.





# TIMELINE

Established under Title VI of the Civil Rights Act of 1964  
49 Code of Federal Regulations Part 23 and 26

1980  
Program Created

1983  
At least 10% funds

1987  
Women added

1999  
DBE Plan; Unified  
Certification Programs

2003  
Implemented a MOU  
with SBA

2009  
Adjustment to  
\$22.41M

2011  
Expedited Interstate  
Certification;  
increased PNW and  
updated certification  
requirements

2014  
Improvements for  
certification and  
modifications to  
provisions.  
Adjustment to  
\$23.98M

2021  
Adjustment to  
\$26.29M

2022  
Notice to Proposed  
Rulemaking.  
Adjustment to  
\$28.48M

2023  
Adjustment to \$30.40



# California Unified Certification Program

## CUCP

### NORTHERN CALIFORNIA

- California Department of Transportation (Caltrans)
- Bay Area Rapid Transit (BART)
- City of Fresno
- San Mateo County Transit District (SAMTRANS)
- San Francisco International Airport (SFO)
- Santa Clara Valley Transportation Authority (VTA)

### SOUTHERN CALIFORNIA

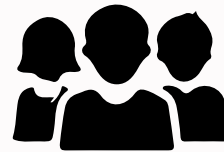
- City of Los Angeles
- Los Angeles County Metropolitan Transportation Authority (METRO)
- San Diego County Regional Airport Authority (SDCRAA)

# DISADVANTAGED BUSINESS ENTERPRISE/ AIRPORT CONCESSION DISADVANTAGED BUSINESS ENTERPRISE



A for-profit small  
business concern

At least 51% is owned by one or more individuals who are both socially and economically disadvantaged; and the management and daily business operations are controlled by one or more of the socially and economically disadvantaged business owners



Presumed disadvantaged  
groups

Americans and permanent U.S. residents that are Black, Hispanic, Native, Asian-Pacific, Subcontinent Asian and women

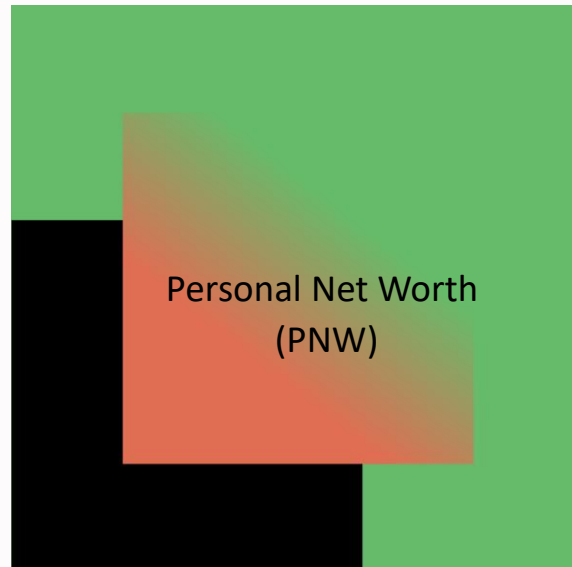


Annual Size Standard  
FTA/FHWA/FAA

Average annual gross receipts, as defined by SBA regulations (see [13 CFR 121.104](#)), over the firm's previous three - five fiscal years, in excess of \$30.40M million (FTA/FHWA); \$56.42M (FAA) and car rental companies, \$75.23M



# PERSONAL NET WORTH



The 51% majority owner(s)  
must not exceed PNW of  
\$1.32 million

**Note:** There may be some cases in which an individual whose PNW is less than \$1.32 million may not be regarded as economically disadvantaged. Refer to 49 CFR 26.67.

# Benefits of DBE Certification

- Certification is FREE
- Recognized by subrecipient local agencies in California
- Interstate certification
- Federal program recognized nationwide
- Recipient of FTA, FHWA and FAA funds need to meet overall triennial DBE goals
- Millions of dollars awarded to DBE firms
- Infrastructure Investment and Jobs Act (BIL)
- Prime and subcontractors need DBEs/ACDBEs to meet contract goal requirements
- Listing in Unified Certification Program (UCP) DBE directories

# How to Become Certified

<https://californiaucp.dbesystem.com/>



The screenshot shows the homepage of the California Unified Certification Program. At the top, the title "California Unified Certification Program" is centered. Below it is a blue banner with a welcome message and a list of ten certifying partners. The main content area is divided into three columns: "Vendor Certification" with a search button, "System Training" with a training button, and "California Work Codes" with a work code guide button. At the bottom, there is a section for "UCP Members" featuring logos for BART, Caltrans, SFO, samTrans, City of Fresno, VTA, San Diego, Metro, and SFMTA.

## California Unified Certification Program

Welcome to the California Unified Certification Program Certification Portal! The CAUCP is responsible for DBE certification in the state of California and provides firms with "one-stop" shopping.

To apply for DBE certification you may contact any one of the ten CUCP Certifying Partners - Los Angeles County Metropolitan Transit Authority (LA Metro), San Diego County Regional Airport Authority (SDCRAA), San Francisco Bay Area Rapid Transit District (BART), San Francisco International Airport (SFO), San Francisco Municipal Transportation Agency (SFMTA), San Mateo County Transit District (SAMTrans), Santa Clara Valley Transportation Authority (VTA), City of Los Angeles, City of Fresno, California Department of Transportation (Caltrans) - by clicking on their logo.

**Vendor Certification**  
Search our database of DBE/ACDBE certified vendors  
[Find a California Certifying Agency](#)

**System Training**  
Learn how to fully utilize our system with a live trainer  
[Training](#)

**California Work Codes**  
Click the link below to view the California Work Codes Guide  
[Work Code Guide](#)

**UCP Members**




# How to Become Certified Cont.

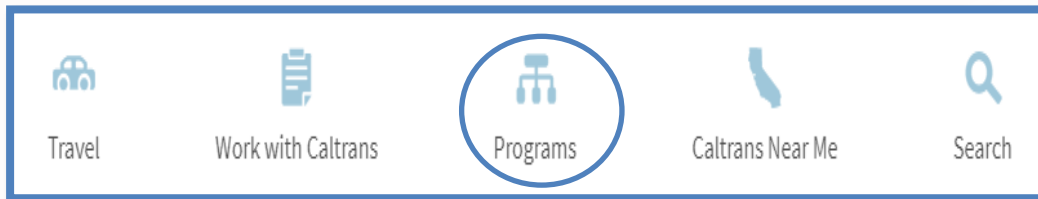
## Create an Online Certification Account

- Tax ID
- Business Information
- Contact Information
- Company Contact Person

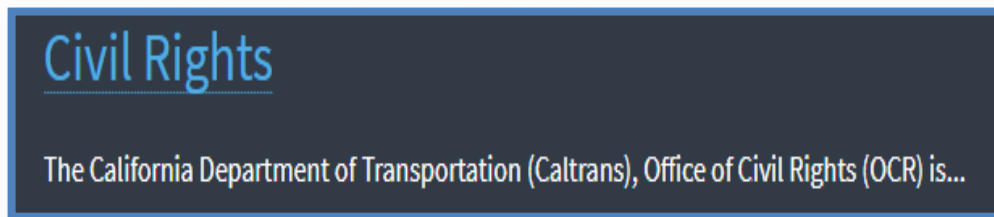


# How to Become Certified Cont.

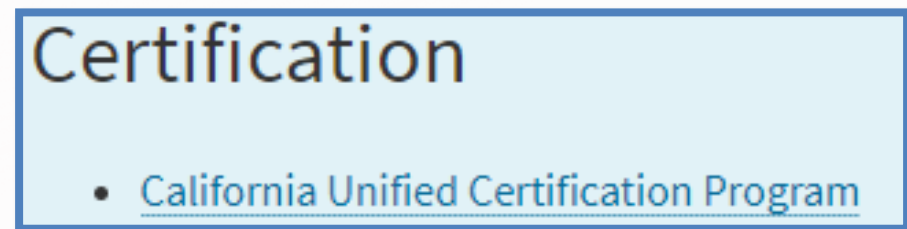
1. Start at Caltrans Website (<https://dot.ca.gov/>), then click “Programs”



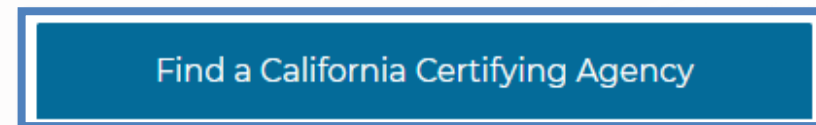
2. Click “Civil Rights”



3. Click “California Unified Certification Program”



4. Click “Find a Certifying Agency”



# How to Become Certified Cont.

5. The Online Certification screen will populate, click “show details” under the “Is your firm located in the Northern California area?”

Is your firm located in the Central Valley/Bay area?

Show Details

Is your firm located in the Northern California area?

Show Details

6. Click on a certifying agency in your respective areas/counties”

San Francisco Bay Area Metro Transportation Commission

San Francisco International Airport

City of Fresno

California Department of Transportation

San Mateo County Transit District

7. Click “Apply for/Renew Certification”

Vendor Certification

Search and/or join our database of certified vendors

Apply for / Renew Certification

8. The Online Certification screen will populate, click “Create Account”

New Certification

Your firm is not currently certified.

[Create Account](#)



# What's Next?

## Meet with a Certifier - 15-minute appointments!

### PROCESS



Application is assigned to a certification analyst



Analyst to conduct final review for eligibility recommendation



Analyst conducts review for missing documentation and requests further clarification (if needed)



Site visit is scheduled and conducted



Certification determination completed

### RESOURCES

- US DOT DBE Program  
<https://www.transportation.gov/civil-rights/disadvantaged-business-enterprise>
- DBE Federal Program  
<https://www.fhwa.dot.gov/civilrights/programs/dbe/>



THANK  
YOU



# Valley Transportation Authority Contracts Overview



Stella Becerra  
June 29, 2023



# Office of Business & Diversity Programs

- Visit <https://www.vta.org/business-center/business-diversity-programs> to review the Disadvantaged and Small Business Enterprise (D/SBE) Programs
- OBDP created a BART Silicon Valley (BSV) Phase II Progressive Design Build Project Goal of 12% with an 11% race-conscience and 1% race-neutral split for all federal contracts under this area.
- Contracting Package No. 2 under BSVII has a 15% DBE goal and 20% SBE goal applied.
- In addition, VTA has an overall SBE Aspirational Goal of 19% for all non-federal contracts.
- Bidders and Proposers must meet goals or provide good faith efforts documentation



# VTA's BART Silicon Valley Phase II (BSVII)



- 6-Mile Extension of BART Service
- Project Alignment
  - 5-mile single-bore tunnel, 1-mile at-grade

- 4 New BART Stations
  - 3 underground, 1 at-grade
- 2 Mid-Tunnel Facilities
- Storage Yard & Maintenance Facility

 6-Mile Extension of BART Service

 4 New BART Stations

 Single-Bore Tunnel

 2 Mid-Tunnel Facilities

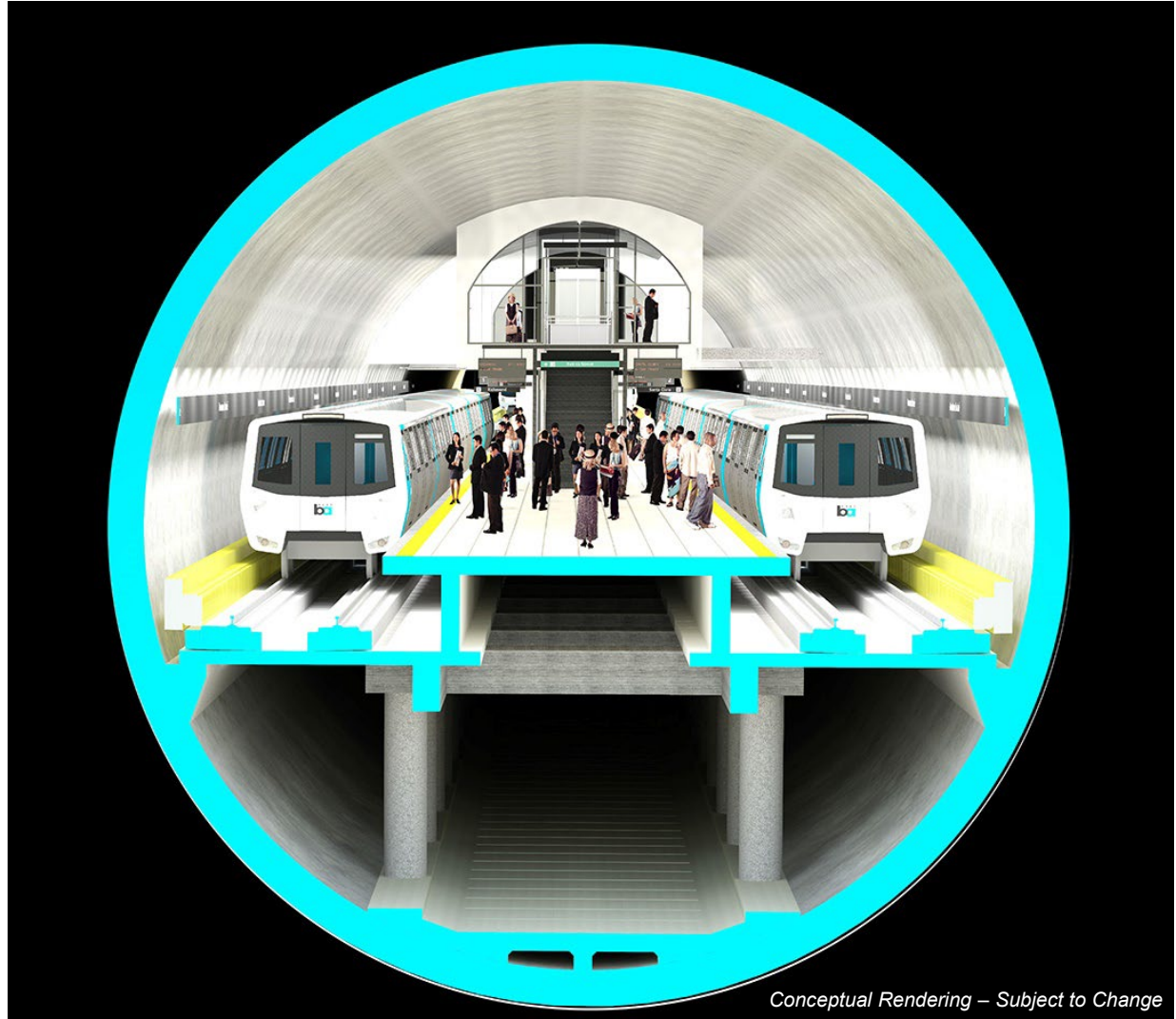
 Storage Yard & Maintenance Facility



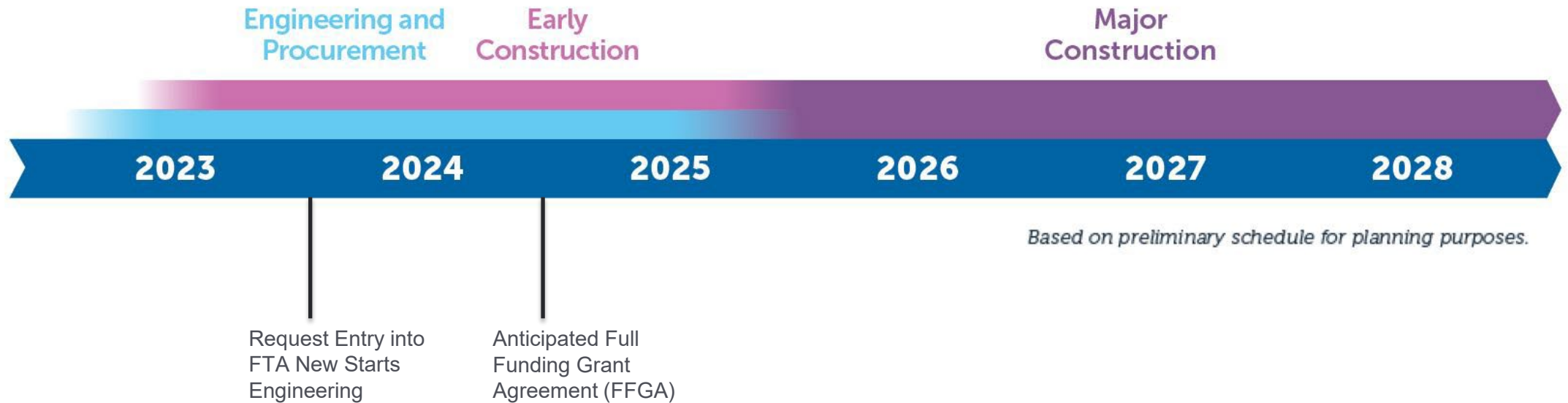
# Tunnel & Trackwork (CP2) Update



- Design Professional Services underway
- In anticipation of tunnel boring machine (TBM) procurement, detailed review of tunnel space-proofing and negotiations underway
- Kiewit Shea Traylor (KST) advancing design and cost estimating of Early Work Packages (TBM, associated works, and West Portal)
- <https://www.vta.org/projects/bart-sv/phase-ii>
- <https://kstjv.com/contracting-opps>
- Ongoing cost and schedule submittal reviews
- Pre-Bid Briefing, Thursday July 6 (flyers at VTA's table)



# Project Look Ahead



*Based on preliminary schedule for planning purposes.*





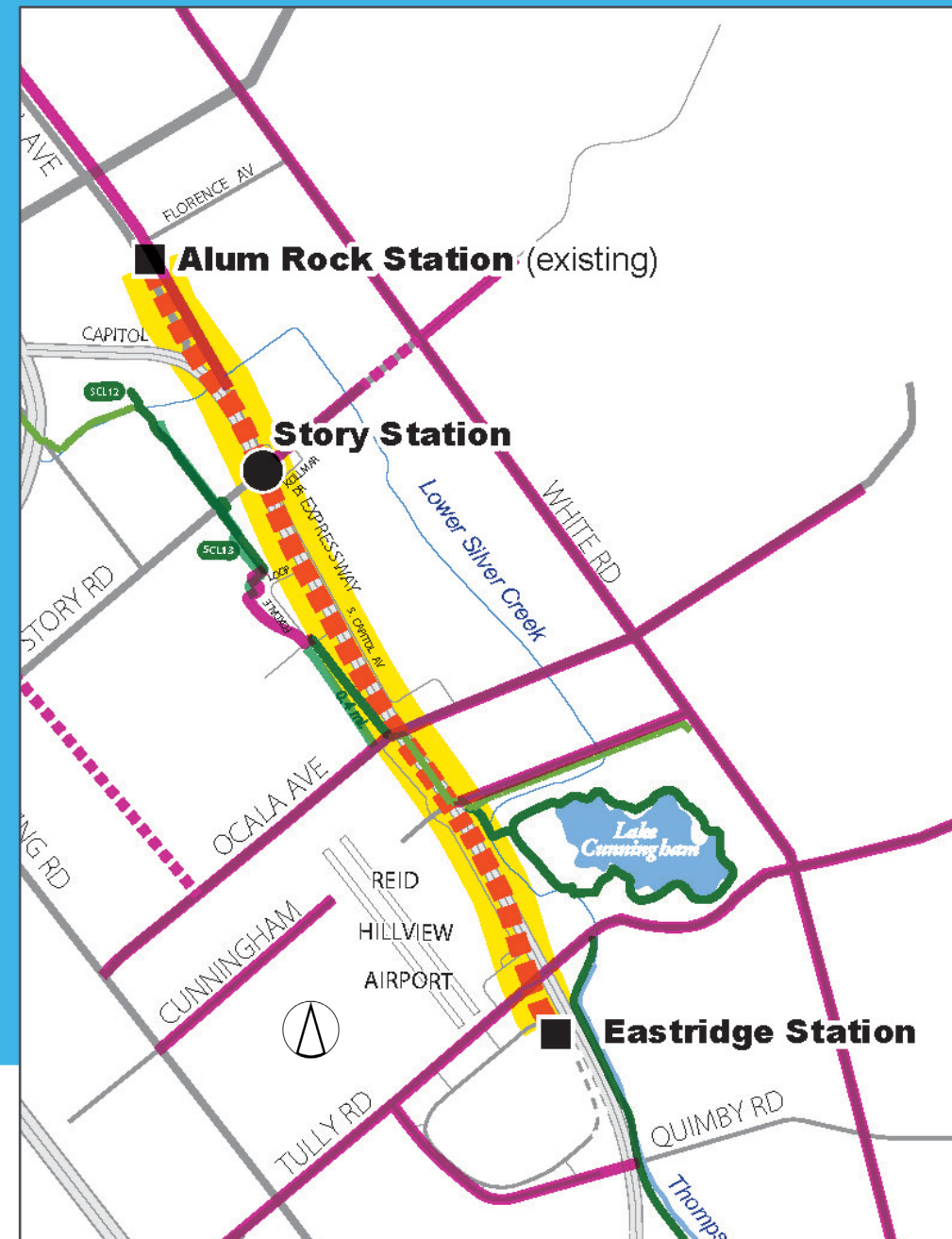
# Eastridge to BART Regional Connector (EBRC)

# The EBRC Design Build is Non-Federal

- The Project is non-federal with an SBE goal of 8%
- The Project is estimated between \$275 million and \$300 million
- OBDP will host an EBRC DBE/SBE Outreach Engagement on July 26, 2023, between 10 AM and 12 PM at VTA's administration location: 3331 North First Street, San Jose CA 95134 (Auditorium)

# EBRC Proposed Project

- Extend VTA Light Rail from South of Alum Rock Station to Eastridge
- 2.4 Miles Long
- Aerial Guideway in median of Capitol Expressway
- Minor Roadway Widening
- Construct Two Stations - Story Road and Eastridge
- Construct Pedestrian Overcrossing at Story Station
- Construct Two Traction Power Substations
- Relocate Major Utilities (Third Party)



# EBRC Procurement Schedule

Pre-Qualification Request

June 15, 2023

Invitation for Bids

July 25, 2023

Pre-Bid Conference

August 15, 2023, at 10:00 AM

Pre-Qualification Due

August 15, 2023, at 2:00 PM

Bids Due Date

October 12, 2023, at 2:00 PM

Construction start

Early 2024



# (EBRC) Highway Program/Construction Contracts

**Q3 2023**

*Calaveras Blvd Near-term  
Improvements*

**Q3 2023**

*US 101/Blossom Hill  
Road Interchange Plant  
Establishment*

**Q4 2023**

*I-280/Wolfe Road  
Interchange Improvement*

**Q1 2024**

*I-280 Soundwalls*

# EBRC Highway Program/Construction Contracts

**Q1 2024**

*US 101/SR 85 Express Lanes Phase 4*

**Q1 2024**

SR 85 Noise Reduction

**Q3 2024**

US101 SR 25  
Interchange Imp. Phase  
1

# Aerial Guideway (Photo Simulation)



Median of Capitol Expressway



Tully Road



# Story Station (Photo Simulation)





# Tully Road Crossing (Photo Simulation)





# Eastridge Station (Photo Simulation)



**EASTRIDGE STATION**  
LOOKING NORTH

Visual Simulation  
February 21, 2018

# Other Requirements applied to both Design Builds

- The Project Labor Agreement (PLA) applies to both BSVII and EBRC. Flowed down to all Tiers.
- Both BSVII and EBRC must follow the Prevailing Wage requirements for all Tiers.



# Other Upcoming Construction/Services Contracts

## Q3 2023

- River Oaks and Cerone Lighting Replacement
- Light Rail Platform Rehabilitation
- Dry Fire Suppression at Berryessa and Milpitas BART Station
- EV Chargers at River Oaks

## Q4 2023

- Light Rail Station Facilities Bridge and Structure Repairs
- Security Enhancement at Chaboya Parking Lot

# VTA Procurement Information

Please visit VTA's website for all contracts at:  
<https://procurement.opengov.com/portal/vta>

For CP2 Bart Tunnel and Trackwork Contracting Opportunities, visit <https://kstjv.com/contracting-opps>

\*Information subject to change.

Email [obdp@vta.org](mailto:obdp@vta.org) for any questions.



# Questions?

**Stella Becerra**

Business Diversity Programs Manager  
Office of Business Diversity Programs

*[Stella.becerra@vta.org](mailto:Stella.becerra@vta.org)*